# Chapter 1 INTRODUCTION

#### **PURPOSE**

This guide was written to provide the Designer with step-by-step guidance on utility coordination during the development of a highway improvement project. This guidance is in addition to <a href="Chapter 18">Chapter 18</a>, Utility Coordination, of the WisDOT Facilities Development Manual (FDM). The Designer should be aware of the guidance in Chapter 18 of the FDM in addition to what is written in this guide. This guide expands upon what is written in the FDM.

This guide does not address coordination with railroads. The Designer is directed to the Regional Railroads Coordinator for information regarding railroad coordination.

#### REASONS FOR REQUIRING UTILITY COORDINATION

The 1979 Edition of the Random House Dictionary of the English Language defines **transportation** as "the business of conveying people, goods, etc." We in the Wisconsin Department of Transportation are charged with providing an efficient, safe, and economical transportation system for the people and goods in the state of Wisconsin. The funding for our work comes largely from State and Federal taxes, which are paid by the general public. A utility company's primary source of revenue is the rates paid by the consumers, which are, in effect, the general public. In order to reduce the total cost to the general public, the Designer must plan the proposed work so that the cost of relocating utility facilities, and the cost of the highway project are minimized. This provides a facility with the lowest overall transportation cost.

State Statutes 86.16 Figure 1-9 and 182.017 Figure 1-10 give utilities the right to occupy highway right-of-way, subject to the Utility Accommodation Policy and the permitting process. When utility facilities are located on public highway right-of-way, even if the City, Village, Town or County owns that right-of-way, the utility use is considered to be permitted and subservient to highway use and therefore is generally considered to be non-compensable. See State Statute 66.0831, Figure 1-8.

State Statute 182.0175 Figure 1-1 requires the Designer to prepare plans that will avoid, as much as possible, any interference with utility transmission facilities. Accordingly, the Designer is expected to avoid utilities when feasible by making suitable adjustments to the horizontal alignment and the vertical profile early in the design process.

To designers involved with highway and bridge improvement projects, utility coordination may seem like a minor task. However, since practically all of our improvement projects have some involvement with utilities, utility coordination is a very important part of the design process. Poor coordination can result in lettings being delayed by up to one year, or in missed completion deadlines caused by delays encountered during construction operations.

Bridge and highway construction inevitably causes an inconvenience for people living near the construction site. We should always strive to keep this inconvenience to a minimum. Utility conflicts that were not detected during the design process can unnecessarily prolong this inconvenience.

Utility companies have to program budgets, order materials, and schedule work activities like any other company. This all takes time and planning. Emergency repairs also affect utility company schedules. Severe storms, natural disasters, equipment failures, and facilities damaged by careless contractors, can all disrupt work schedules, and delay planned utility relocations.

September 30, 2006 INTRODUCTION Page 1 of 8

Utilities must also look at relocations from a systems point of view. For example, the relocation of a onemile segment of a transmission line for a highway project may result in a five-mile replacement project for the utility.

Utilities do keep stockpiles of some materials for repairs. However, in an effort to keep down overhead costs in this age of "just-in-time" deliveries, most materials are not stockpiled. Many materials have to be ordered six months to a year in advance of the delivery date. The materials can't be ordered until after there is an approved work order (and approved utility agreement where applicable), which is about six months after the highway plans are sent to the utility company.

Good utility coordination minimizes the impact on utilities, provides sufficient time for the utilities to plan their work, and eliminates conflicts during construction.

State Statute 84.09, <u>Figure 1-11</u>, is the state statute regarding the acquisition of lands and interests. This statute comes into play when discussing the reimbursement of utility relocation costs. This is discussed further in Chapters 8, 11 and 17. The statute is included in this chapter in an effort to have all utility related statutes in one place.

#### **UTILITY COORDINATION MEETINGS**

One of the most important and effective tools for utility coordination on a highway improvement project is the utility coordination meeting. This is a meeting dedicated to discussing the highway improvement project and its impact on utility facilities. All of the utilities with facilities on the project are invited to the meeting. Typically, the meeting would start out with a project overview given by the project designer. This is followed by comments regarding any environmentally sensitive areas, the status of real estate acquisitions (if appropriate), any concerns regarding erosion control, and the project timeline that affects utility companies (when will additional materials be sent to them; when do work plans, agreements, etc. have to be submitted; proposed letting date; start of construction).

After the information has been presented to the utility companies, have them comment on how the project will affect them. Early in the design process it may be difficult for them to answer where they have potential conflicts or what they will do, but they can tell you if they have facilities that are difficult or extremely expensive to move. It may be best to design the project to avoid conflict with these types of facilities. Remember s. 182.0175(2)(a) requires the designer "to avoid to the extent possible interference with" utility facilities. It is more efficient to make the decision to avoid utility facilities early in the design process rather than later.

When a utility coordination meeting is held later in the design process after the utility companies have had time to study the plans and develop their relocation plans the meeting can be used to coordinate the plans of the various companies involved. Joint trenching or joint pole occupation may save time and money for several companies. Also, hearing the plans of one utility may affect the proposed location of another company. For example gas may locate on one side of the roadway and water may decide to locate on the other side to minimize the potential conflicts between them and to meet industry clearance standards.

The number of utility coordination meetings you should have for a project depends on the type of project and the complexity of the utility conflicts. For a **fairly straightforward project** with little excavation required, one coordination meeting may be sufficient. For **most urban projects, or on projects with a fair amount of excavation**, at least two coordination meetings are recommended. One meeting should be held early in design, possibly in conjunction with the Operational Planning Meeting, and the other meeting should be held later in the design process, after the utility companies have had time to study the highway plans and prepare their relocation plans. The latter meeting should be held prior to writing the special provisions. For **complex projects or on projects with multiple lettings** several utility coordination meetings should be held. On these types of projects good communication is critical to the

success of the project. Meetings at various stages of project development can be very helpful in keeping the project on track and minimizing delays during construction.

On projects with environmentally sensitive areas, or erosion susceptible soils it is a good idea to invite the DNR Regional DOT Liaison to the meeting. The DNR can then present their expectations and answer questions regarding environmental regulations or requirements.

On projects with right-of-way plats where the acquisition is contracted out to non-WisDOT staff, it may be necessary to have a utility coordination meeting prior to the execution of the real estate acquisition contract to discuss which parcels are needed in order for the utilities to complete their relocation work. These parcels can then be listed as a priority in the contract for real estate acquisition. This will help assure that these parcels are acquired in time to meet the utility relocation schedules.

#### **CHAPTER TRANS. 220 – UTILITY FACILITIES RELOCATION**

#### General

Chapter Trans. 220 <u>Figure 1-3</u> is the administrative rule that implements State Statute 84.063 Utility Facilities Relocation <u>Figure 1-2</u>. Trans. 220 sets the framework for the utility coordination that must occur during the design process. See <u>Figure 1-20</u> for a list of Trans. 220 actions steps.

Trans. 220 applies to all state trunk highway improvement projects that have utility facilities on them and are let to contract. See <u>Figure 1-7</u> for additional discussion. <u>Figure 1-19</u> gives the legal definition of "highway improvement" as stated in the statutes. Refer to this if you think that Trans. 220 may not apply to the type of work involved on your project. Trans. 220 does apply to signal projects, as noted in a memo from 1994, which is reproduced in <u>Figure 1-21</u>.

The objective of the law and rule is to prevent delays to the highway contractor caused by utility relocation activities. It prescribes the method by which the Department of Transportation (DOT) or its agent will notify utility companies of proposed highway improvements as well as the method by which utility companies will advise the DOT of facilities located in the area of the improvement project. After the DOT furnishes its improvement plans to the utility companies, the utility companies develop work plans for altering or relocating their facilities and send copies of the plan to the DOT. The DOT reviews and approves the work plan for utility facility relocation or alteration. Thus, a defined process and schedule is established to deal with utility conflicts and arrange for their resolution.

If the Designer follows the suggestions in this Guide, he or she will be in compliance with the administrative rule and the law. The complete text of State Statute 84.063 and Chapter Trans. 220 are included as Figure 1-2 and Figure 1-3 at the end of this chapter. The Designer may refer to them should there be any questions regarding the actual language of the law or the administrative rule. A simplified flow chart of the Trans. 220 process is shown in Figure 1-6.

<u>Figure 1-12</u> is a <u>sample</u> "Trans. 220 Log," <u>Form DT1079</u>, which can be used to track the dates of the various activities in the Trans. 220 process.

#### **Timeline**

Trans. 220 imposes specific timeframes for various activities in the utility coordination process. Early in the design process the utilities must be notified of a proposed highway improvement. The utility then has 60 days to send information regarding their facilities in the project area. This usually is done by providing the designer with system maps showing the approximate location of utility facilities.

After the designer receives the system maps from the utility, several months or years go by as the designer works on the highway plans. The next Trans. 220 step is to send completed plans to the utilities

September 30, 2006 INTRODUCTION Page 3 of 8

for them to use in determining conflicts and designing utility relocation plans. The utility has 60, 90, 120 or 150 days, depending on the type of project, to review the plans and develop a work plan.

WisDOT has changed the nomenclature used to identify different project types since Trans. 220 was written. The following timeframes are to be used:

Resurfacing	60 days
Pavement Replacement	90 days
Reconditioning	120 days
Reconstruction	120 days
Expansion	120 days
Major Project	120 days
Stand-alone Bridge Replacement	90 days

An additional 30 days will be added if coordination is required with other utility facility owners, or if the work is compensable. See Trans. 220.05(4) in <u>Figure 1-3</u>. NOTE: All days are calendar days.

The designer then reviews the work plans and either accepts or rejects the work plan. If the work plan is rejected, the utility has 30 days to redo the plan and resubmit it.

After the utility work plan (and signed agreement, where applicable) is approved and returned to the utility, the utility may proceed with its work plan by obtaining the necessary permits, ordering materials, purchasing easements and scheduling work crews. It is important to note that approving a work plan does not remove the requirement to obtain a utility permit from the WisDOT Region. A utility permit, "Application/Permit To Construct And Operate Utility Facilities On Highway Right-Of-Way," Form DT1553, is still needed. See the "Utility Accommodation Policy," to obtain more information on permit requirements.

Utility companies must be notified of any changes made to the highway plans that were sent to them. The changes must be highlighted so that they can tell what changes were made. The utility company then has 60 days to redesign their relocation plan if necessary. Trans. **220 requires WisDOT to pay for any rework that is caused by the changes**. Therefore it is important that the plans sent to utility companies be as complete as possible.

#### **Proposed Highway Improvement Notice, Form DT1077**

The "Proposed Highway Improvement Notice," <u>Form DT1077</u>, is used to notify utility companies of upcoming highway projects. This would be the first correspondence sent to the utility regarding a project, and is the first step of the Trans. 220 coordination process.

A sample of Form DT1077 is shown in <u>Figure 1-4</u>. Additional information regarding form DT1077 and its cover letter can be found in <u>Chapter 3</u>, Utility Identification & Notification.

#### **Project Plan Transmittal, Form DT1078**

The "Project Plan Transmittal," Form DT1078, is used to send completed plans to the utility companies. The plans don't have to be the PS&E submittal, but they do have to be complete enough that the utility has enough information to determine any conflicts with their facilities and to design their relocation plan. The designer must, by law, inform the utility of any changes to the plans that may have an impact on the existing or proposed utility facility. WisDOT must pay for any changes that affect work the utility has already done in preparation for the highway project. It is important that the plans sent to the utility companies are as complete as possible and that any changes to the plans be made with consideration of the impact on utility facilities.

A sample of Form DT1078 is shown in <u>Figure 1-5</u>. Additional information regarding Form DT1078 and its cover letter can be found in <u>Chapter 10</u>, Sending Plans To Utilities.

September 30, 2006 INTRODUCTION Page 4 of 8

#### **Utility Work Plans**

Trans. 220 requires a utility to provide WisDOT with a plan for how they will accomplish the relocation of their facilities that are in conflict with a proposed highway plan. The work plan must include a schedule, narrative description of what work will be done, whether the work will be done prior to highway construction or concurrent, any coordination required with other companies or contractors, any real estate parcels that need to be acquired before the utility can do its work, and any necessary approvals required.

The designer must review the work plan to ensure compatibility with permit requirements, highway improvement plans and construction schedule, and the reasonableness of the relocation scheme. If the work plan is not reasonable or compatible, the utility must be notified and given 30 days to revise the plan. Figure 1-13 is a sample of a work plan denial letter.

In addition to the **designer**, it is recommended that the **environmental unit** look at the work plan to determine if any "sensitive" areas might be affected, the **real estate section** should be made aware of any acquisitions that must take place prior to the scheduled utility relocations, and the **utility permit coordinator** should review the work plan to make sure that it complies with the "Utility Accommodation Policy." It is a good idea to have the Regional Erosion Control Specialist take a look at any work plans that go through environmentally sensitive areas or require extensive erosion control measures.

Once the appropriate people have reviewed the utility work plan, a work plan approval letter must be sent to the utility company. The Regional Utility Coordinator or the consultant must send this letter to the utility so that the utility knows it can proceed to order materials and to schedule the work. Trans. 220.05(7) requires that "When the work plan is compatible and reasonable, the department shall advise the owner by mail of its approval." Figure 1-14 and Figure 1-15 are sample work plan approval letters.

The information in the work plan can be used to write the appropriate section of the "Utility" portion of the Special Provisions.

A suggested form to send to utilities along with the Project Plan Transmittal, Form DT1078, is shown in <u>Figure 10-3</u>, "Utility Worksheet," Form DT2236. The use of this form is recommended. It is provided to assist the utility company in providing the required information.

Sometimes a utility may miss the work plan due date. It is **extremely** important to obtain a work plan from each utility company, or a letter from the utility company stating that they have no conflicts. The designer should make every effort to prod the utility company to provide a work plan. Follow-up phone calls or letters may be necessary to obtain the work plan. Be sure to document all attempts to obtain the overdue work plan by writing a note to the file, making a diary entry, or some other similar method. Figure 1-16 and Figure 1-17 are samples of letters for requesting a work plan after the utility has missed the due date. Figure 1-17 is a stronger more formal version of the letter and is actually titled "Trans. 220 Non-compliance Notice." In some cases, this version may be more appropriate to get the attention of the utility.

If a utility should fail to follow their work plan they would be in violation of Trans. 220. Once this is discovered the utility should be contacted to try to bring them into compliance with their work plan as soon as possible. If the work is to be done prior to construction there may still be time to accomplish that, if the violation is discovered soon enough. The goal of the project manager and the utility coordinator is to avoid utility-related construction delays. Any efforts aimed at achieving this goal are deemed to be worthwhile. Phone calls and letters to the utility company will hopefully lead to the work getting done as quickly as possible with little or no delay to the highway contractor. Figure 1-18 is a sample Trans. 220 violation notice.

September 30, 2006 INTRODUCTION Page 5 of 8

#### **Project Utility Coordination Meetings**

At any time during the design process the designer can call a utility coordination meeting. Attendance at these meetings is mandatory according to Trans. 220. This fact should be mentioned in the letter announcing the meeting. The purpose of the utility coordination meetings is to share information regarding the relocation of utility facilities.

Often companies can work together to be more efficient and to save construction costs. It also provides an opportunity for the designer to explain the timing and construction details of the proposed highway improvement project.

Designers can call for the meeting for their own reasons, or at the request of a utility company. Trans. 220 requires that the designer hold a utility coordination meeting if one of the utilities involved requests such a meeting.

See "<u>Utility Coordination Meetings</u>" in this chapter for additional discussion on utility coordination meetings.

#### **Notifications**

After the work plans have been reviewed and approved, the designer sends an approval letter to the utility as noted above in the section on Utility Work Plans. However, there are several other notifications that are required by Trans. 220.

Section 220.05 paragraph (9) states "The department shall notify the owner by mail not less than 30 calendar days before the owner is required to begin the work provided for in the approved work plan. The department may include a receipt of mailing form, which the owner shall complete and return within 7 calendar days of receipt." This "start work" notice **must be sent** in order for the Department to be in compliance with Trans. 220.

Section 220.05 paragraph (10) requires the contractor to provide a 14 to 16 calendar day notice to the utility for any work that is contingent on highway construction operations being completed prior to the utility relocation work being done. Also, "The contractor shall follow up with a confirmation notice to the department and the owner *(utility)* not less than 3 working days before the work will be ready for the owner to begin its work."

Paragraph 11 of Section 220.05 requires the utility to notify the department when they begin their work and after they have completed their work.

#### UTILITY CORRESPONDENCE/ACTIVITIES

All utility-related correspondence should be routed through the Region Utility Unit. The Utility Unit should also be informed of all meetings or other activities, such as field reviews with utility personnel. When appropriate, a representative of the Utility Unit will attend these meetings.

On consultant-designed plans, copies of all correspondence with utilities must be sent to, or routed by, the Utility Unit. The correspondence will be reviewed by the Regional Utility Coordinator or their designee prior to the signing of the Utility Status Report.

A Utility Diary, <u>Form DT2241</u>, can be used to document the various utility coordination contacts and activities on a project. A sample diary page is shown in <u>Figure 1-23</u>.

The Designer is urged to contact the Utility Unit any time there is a question regarding the interpretations or procedures that may affect timely completion of project utility coordination.

September 30, 2006 INTRODUCTION Page 6 of 8

#### **REGIONAL UTILITY UNITS**

Each WisDOT Regional office has a Utility Unit, which deals with utility coordination. There are differences in how coordination activities are done in each region. The goal of each region is to have no highway construction delays caused by unexpected utility conflicts. How each region accomplishes this goal varies.

The utility coordinator serves as a liaison between the utility industry, the highway designer, and the highway contractor. The utility coordinator must understand and represent the interests of all three groups. They should strive to facilitate solutions that result in the best overall improvement project. A utility coordinator needs to have good problem-solving skills, they need to be proactive in order to prevent problems, and they need to be good at dealing with different types of people in various (and sometimes stressful) situations. See Figure 1-22 for more thoughts on the role of a utility coordinator.

The Utility Unit is located in the Technical Services business area of each region. The following people deal with utility coordination:

#### Southwest Region - La Crosse

#### FAX (608) 789-7896

Crawford, Grant, Juneau, La Crosse, Monroe, Richland and Vernon counties

Gary Jackson (608) 785-9032 Dane, Crawford, Grant, La Crosse, Sauk, Vernon

<u>Connie Bruns</u> (608) 789-5704 Juneau, Monroe, Richland Stephen McGovern (608) 785-9057 Utility Permits Only

#### Southwest Region – Madison

#### FAX (608) 243-3380

Columbia, Dane, Dodge, Grant, Green, Iowa, Lafayette, Rock and Sauk counties

Vacant (608) 246-5353 Vacant (608) 246-3852

Michael (Mike) Brolin (608) 246-7963 Dane, Columbia, Green, Iowa, Lafayette, Rock Kenneth (Ken) Weaver (608) 245-2633 Dane, Dodge, Jefferson, Utility Field Inspections

Vacant (608) 246-5406

#### Southeast Region - Waukesha

#### FAX (262) 548-6891

Kenosha, Milwaukee, Ozaukee, Racine, Walworth, Washington and Waukesha counties

Ronald (Ron) Anderson(262) 548-5935Lead WorkerJ. Patrick (Pat) Harley(262) 548-5619South of I-94 and Waukesha countyLawrence (Larry) Johnson(262) 548-8758North of I-94 and Milwaukee countyLaura Longley(262) 548-6425North of I-94 and Milwaukee countyAlbert (Al) Hutter(262) 548-6895Marquette Interchange and Lake Parkway

Patrick (Pat) Stoetzel (262) 548-5671 South of I-94 and Waukesha

Patricia (Patty) Oemig(262) 548-8653AssistantDenise Rosenthal(262) 548-8733Assistant

#### Northeast Region - Green Bay

#### FAX (920) 492 5640

Brown, Calumet, Door, Fond du Lac, Kewaunee, Manitowoc, Marinette, Oconto, Outagamie, Sheboygan and Winnebago counties

Warren LaDuke
(920) 492-5701

Bruce Rayden
(920) 492-4101

Robert (Bob) Pavlick
(920) 492-5646

Winnebago, Outagamie, Shawano, Menomonie, Oconto and Marinette
Utility Permits Only

#### North Central Region – Rhinelander

#### FAX (715) 365 5780

Florence, Forest, Iron, Langlade, Lincoln, Menominee, Oneida, Price, Shawano and Vilas counties

Stephen (Steve) Kienitz (715) 365-5762

Terry Catlin (715) 365-5763 Assistant and Utility Permits

September 30, 2006 INTRODUCTION Page 7 of 8

### North Central Region – Wisconsin Rapids FAX (715) 421-7300

Adams, Green Lake, Marathon, Marquette, Portage, Waupaca, Waushara and Wood counties

 Barbara (Barb) Kleifgen
 (715) 421-8325

 Michael (Mike) Maurer
 (715) 421-8059

 Richard (Rick) Rogers
 (715) 421-8078

Keith Rutkowski (715) 421-8035 Utility Permits Only

#### Northwest Region - Eau Claire

FAX (715) 836-2807

Buffalo, Chippewa, Clark, Dunn, Eau Claire, Jackson, Pepin, Pierce, St. Croix and Trempealeau counties

Richard Ricksecker (715) 836-2816

Ronald (Ron) Schroeder (715) 836-2834 Utility Permits Only

#### Northwest Region – Superior

FAX (715) 392-7863

Ashland, Barron, Bayfield, Burnett, Douglas, Polk, Rusk, Sawyer, Taylor and Washburn counties

<u>Sue Heller</u> (715) 392-7933

Cindy Sapik (715) 392-7881 Assistant and Utility Permits

#### Statewide Office - Madison

FAX (608) 267-1862

Ernest (Ernie) Peterson (608) 266-3589 Statewide Utility Engineer

<u>Julie DeBauche</u> (920) 492-7163 or (608) 267-4461

Statewide Utility Projects Coordinator

Anna Gent (608) 266-3050 Statewide Ut

Statewide Utility Assistant

#### 182.0175 Damage to Transmission Facilities

#### (1) **DEFINITIONS.** In this section:

- (am) "Emergency" means a condition that poses a clear and immediate danger to life or health, or a significant loss of property.
- (b) "Excavation" means any operation in which earth, rock or other material in or on the ground is moved, removed or otherwise displaced by means of any tools, equipment or explosives and includes grading, trenching, digging, ditching, drilling, augering, tunneling, scraping, cable or pipe plowing and driving and means any operation by which a structure or mass of material is wrecked, razed, rended, moved or removed.
- (bm) "Excavator" means a person who engages in excavation.
- (bt) "Local governmental unit" means a political subdivision of this state, a special purpose district in this state, an instrumentality or corporation of such a political subdivision or special purpose district, a combination or subunit of any of the foregoing or an instrumentality of the state and any of the foregoing.
- (c) "Transmission facilities" includes all pipes, pipelines, wires, cables, ducts, wirelines and associated facilities, whether underground or aboveground, regardless of the nature of their transmittants or of their in–service application. The term includes, but is not restricted to, utility facilities, government–owned facilities, facilities transporting hazardous materials, communications and data facilities, drainage and water facilities and sewer systems. The term does not include any of the following:
  - 1. A culvert.
  - 2. A fuel storage tank and a fuel storage pipeline, if the pipeline does not cross a public right-of-way and if the tank and pipeline are located on property that is owned or leased by the user of the tank and pipeline.
- (d) "Working days" means days other than Saturday, Sunday and legal holidays.

#### (1m) ONE-CALL SYSTEM.

(a) Statewide system. Transmission facilities owners shall establish or designate a nonprofit organization governed by a board of directors as the operator of a one-call system. The one-call system shall be a statewide communication system in which a single operational center receives excavation notices and transmits notice information to affected-member transmission facilities owners.

#### (b) Membership.

- 1. Except as provided in subd. 2., a transmission facilities owner shall be a member of the one-call system.
- 2. A transmission facilities owner or lessee is not required to be a member of the one-call system if all of that person's transmission facilities are located on property owned or leased by that person. This subdivision does not apply to a governmental unit that is a transmission facilities owner.
- (bm) Membership fees. Members may be assessed an initial start-up fee equal to the system's costs in adding the member to the one-call system, except that any initial start-up fee may not exceed \$100 for a member whose transmission facilities serve less than 5,000 customers. For purposes of assessing the initial start-up fee, affiliated transmission facilities owners shall be considered a single member. Under this paragraph, a transmission facilities owner is affiliated with another transmission facilities owner if the transmission facilities owner, directly, or indirectly through one or more intermediaries, controls, is controlled by, or is under common control with, the other transmission facilities owner. Members shall also be assessed a fee per notice of intended excavation activity. Membership in the one-call system ceases if a fee assessed under this paragraph is more than 90 days past due. A transmission facilities owner may be reinstated as a member upon payment of the amount past due.
- (c) *Liability.* Any transmission facilities owner who is required to be a member of the one–call system and has not complied with the membership requirement is liable for all damages to the owner's transmission facilities and for any other damages that occur as a result of a properly noticed excavation to the one–call system.
- (d) System functions. The one-call system shall do all of the following:
  - 1. Publicize the availability and use of the one-call system.
  - 2. Provide toll-free communication to the one-call system.
  - Accept notices of intended excavation activity.
  - Accept notices of intended emergency location or emergency excavation activity 24 hours a day.
  - 5. Inform the person providing notice of the names of transmission facilities owners who will receive the notice information.
  - 6. Promptly transmit notice information to affected-member transmission facilities owners.
  - 7. Retain records of notices for a period of not less than 6 years.

#### (2) EXCAVATOR AND PLANNER RESPONSIBILITIES.

- (a) *Planning.* Every person who is responsible for the preparation of plans and specifications for nonemergency excavation and every excavator shall do all of the following:
  - Take reasonable action to learn the location of any transmission facilities in and near the area where the excavation is to be conducted.

2. Plan the excavation to avoid to the extent possible interference with transmission facilities in and near the excavation area.

#### (am) Excavation notice. An excavator shall do all of the following:

- 1. Provide advance notice not less than 3 working days before the start of nonemergency excavation to the one-call system.
- 2. In an emergency, take all reasonable precautions to avoid to the extent possible interference with existing transmission facilities in and near the excavation area and notify as promptly as possible the owners of transmission facilities which may be affected by the emergency excavation.
- 3. Maintain an estimated minimum clearance of 18 inches between an unexposed underground transmission facility marked under sub. (2m) and the cutting edge or point of any power-operated excavating or earth moving equipment. If the transmission facility is exposed, the excavator may reduce the clearance to 2 times the known limit of control of the cutting edge or point of the equipment or 12 inches, whichever is greater.
- 4. Provide a repeat notice to the one–call system if marks are destroyed or covered by excavation site activities, if the excavation does not start within 10 days of the scheduled start date or if excavation is interrupted for more than 10 days.
- 5. Provide support for existing transmission facilities in and near the excavation area that may be reasonably necessary or that is specified by the transmission facility owner for the protection of the facilities, unless protection is required of the owner of the transmission facility under s. 66.0831.
- 6. Before backfilling, inspect all transmission facilities exposed during excavation to ascertain if the transmission facilities have been or may have been struck, damaged, dislocated or disrupted.
- 6m. Refrain from backfilling an excavation until an inspection is conducted and any necessary repairs have been made by the owner of the transmission facility.
- 7. Immediately notify the owner of a transmission facility if an inspection reveals that the transmission facility has been or may have been struck, damaged, dislocated or disrupted.
- 8. Backfill an excavation as specified by the owner of the existing transmission facilities or in a manner and with materials that may be reasonably necessary for the protection of, and to provide reliable support during backfilling and following backfilling for, existing transmission facilities in and near the excavation area.

#### (bm) Notice. An excavation notice shall include all of the following information:

- 1. The name of the person providing notice.
- 2. The name, address and telephone number of the excavator.
- 3. The specific location and description of the excavation area, including the county, place, street address, nearest intersecting road, distance and direction from the nearest intersection and marking instructions.
- 4. A description of the intended excavation activity.
- 5. The intended starting date of the excavation.

#### (2m) TRANSMISSION FACILITIES OWNER REQUIREMENTS.

- (a) Responsibilities. A transmission facilities owner shall do all of the following:
  - 1. Respond to a planning notice within 10 days after receipt of the notice by conducting field markings, providing records and taking other appropriate responses.
  - 2. Respond to an excavation notice within 3 working days by marking the location of transmission facilities and, if applicable, laterals as provided under par. (b) in the area described in the excavation notice.
  - 3. Provide emergency locater service within 24 hours after receiving a request for that service.
- (b) Facilities marking. A person owning transmission facilities, upon receipt of an excavation notice, shall mark in a reasonable manner the locations of transmission facilities at the area described in the notice to enable the excavator to locate the transmission facilities without endangering the security of the facilities or the public. Except as provided in par. (bm), if the person is a local governmental unit and if the excavation notice relates to sewer or water facilities owned by the local governmental unit, the local governmental unit shall also mark the locations within the public right-of-way of all laterals connected to the sewer or water facilities at the area described in the notice. The marking of facilities shall be completed within 3 working days after receipt of the notice, or if notice is given more than 10 days before excavation is scheduled to begin, marking shall be completed at least 3 working days before excavation is scheduled to begin. If the approximate location of a transmission facility is marked with paint, flags, stakes or other physical means, the following color coding of lines, cables or conduits shall comply with the uniform color code adopted by the American National Standards Institute:
  - 1. Electric power: red.
  - 2. Gas, oil, steam, petroleum or gaseous materials: yellow.
  - 3. Communications, cable television or alarm or signal systems: orange.
  - 4. Water, irrigation or slurry systems: blue.
  - 5. Sewer or drain systems: green.
  - 6. Temporary survey markings: pink.
  - 7. Proposed excavation: white.
- (bm) A local governmental unit is considered to have satisfied the requirement under par. (b) to mark the locations within the public right-of-way of all laterals connected to sewer or water facilities if the local governmental unit makes available to an

excavator, for inspection and making copies, information on the location of such laterals as shown on maps, drawings, diagrams, or other records, that are readily available. If a local governmental unit has no such readily available information regarding such laterals and the local governmental unit provides the excavator with a written notice that the local governmental unit has no such readily available information, the local governmental unit is considered to have satisfied the requirement under par. (b) to mark the locations within the public right-of-way of all laterals connected to the sewer or water facilities. (c) Facilities inspection and repair. Every person owning transmission facilities who receives a notice of possible damage shall inspect the facilities for damage within 6 hours after receipt of the notice if there is risk of personal injury or loss of life or within 24 hours after receipt of the notice if there is not a risk of personal injury or loss of life and shall repair any damage found as soon as practicable. Unless the owner of any transmission facility is notified or has knowledge of damage to transmission facilities by an excavator, the owner is not responsible for or required to make an inspection of its transmission facilities, nor shall the owner, in the absence of notification or knowledge, be responsible for supervising in any manner the excavation.

(2r) FACILITIES INSTALLED AFTER DECEMBER 31, 2006. Any person who, after December 31, 2006, installs a nonconductive water or sewer lateral shall also install a locating wire or other equally effective means for marking the location of the lateral. The requirement shall not apply to minor repairs to, or partial replacements of, laterals installed before January 1, 2007.

NOTE: Sub. (2r) was created as 182.0715 (2r) and renumbered by the revisor under s. 13.93 (1) (b).

#### (3) PENALTIES.

- (a) Forfeitures. Any person who willfully and knowingly violates this section may be required to forfeit \$2,000 for each offense. Each day of continued violation constitutes a separate offense.
- **(b)** *Misdemeanor.* Whoever intentionally removes, moves or obliterates a transmission facilities marking placed by the transmission facilities owner may be fined not more than \$500 or imprisoned for not more than 30 days or both. This paragraph does not apply to an excavator who removes or obliterates markings during an excavation.

#### (4) RIGHT OF ACTION.

This section shall not affect any right of action or penalty which this state or any person may have.

#### (5) RIGHT TO INJUNCTION.

If any person engages in or is likely to engage in excavation inconsistent with this section and which results or is likely to result in damage to transmission facilities, the person who owns or operates the facilities may seek injunctive relief in the circuit court for the county in which the transmission facilities are located. If the transmission facilities are owned or operated by a public utility as defined in s. 196.01 (5), including a telecommunications carrier, as defined in s. 196.01 (8m), and the public utility does not seek injunctive relief, the attorney general, upon request of the public service commission, shall seek injunctive relief in the circuit court for the county in which the transmission facilities are located.

**History:** 1973 c. 277; 1977 c. 350; 1977 c. 449 s. 497; 1983 a. 189; 1985 a. 297 s. 76; 1993 a. 482, 496; 1995 a. 135; 1999 a. 150 s. 672; 2005 a. 425; s. 13.93 (1)(b).

Source: Updated 03-04 Wis. Stats. Database 6 182.0175 CORPORATIONS, MISCELLANEOUS; TURNPIKE UNOFFICIAL TEXT Unofficial text from 03-04 Wis. Stats. database. See printed 03-04 Statutes and 2005 Wis. Acts for official text under s. 35.18 (2) stats. Report errors to the Revisor of Statutes at (608) 266-2011, FAX 264-6978, http://www.legis.state.wi.us/rsb/

#### 84.063 Utility Facilities Relocation

#### (1) **DEFINITIONS.** In this section:

- (a) "Highway improvement" means a state trunk highway improvement project.
- (b) "Utility facility" means any pipe, pipeline, duct, wire line, conduit, pole, tower, equipment or other structure, whether aboveground or underground, used for any of the following:
  - 1. The transmission or distribution of electrical power or light.
  - 2. The transmission, distribution or delivery of heat, water, gas, sewer, telegraph or telecommunication services.

#### (2) NOTIFICATION.

- (a) If a utility facility is within the right-of-way of a proposed highway improvement, the department shall identify the owner and notify the owner in writing of the proposed improvement.
- (b) Within a specified period after the date the notice is received, the utility facility owner shall provide the department with a description and the general location of each utility facility in the proposed highway improvement right-of-way.

#### (3) PLANS.

- (a) If a utility facility owner provides the information required under sub. (2), the department shall send the utility facility owner at least one set of available project plans for the proposed highway improvement, including the location of the owner's existing utility facilities.
- (b) Within a specified period after receiving the project plans, the owner shall provide the department with a work plan. The period of time within which the owner is required to provide the department with a work plan shall reflect whether the utility facility owner is required to coordinate its work plan with another utility facility owner. The work plan provided by the owner shall include all of the following:
  - 1. A copy of the project plans that verifies the location of all of the owner's existing utility facilities specified on the plans by the department and that identifies the owners' proposed location of relocated or additional utility facilities within the right-of-way of the proposed improvement.
  - 2. A plan and a schedule of working days necessary to obtain any approval required by a governmental agency and to accomplish any proposed relocation or adjustment required by the proposed improvement.
- (c) The department shall review and approve a work plan submitted under par. (b) for compliance with permit requirements and to ensure that the plan is reasonable. Approval of a work plan under this paragraph does not waive any requirement for approval of the work plan by any other governmental agency. The utility facility owner shall notify the department when all required approvals have been obtained. After receiving notification that all approvals have been obtained, the department shall notify the owner of the date on which the owner may proceed with its utility facility relocation work.
- (d) The department shall notify the utility facility owner of any change in the highway improvement that requires additional relocation or adjustment of utility facilities. The department and the owner shall agree on a reasonable time to accomplish the additional work.

#### 84.063(4) RESPONSIBILITIES.

- (a) If additional utility facility relocation or adjustment work is required under sub. (3) (d), the department shall reimburse the owner for the additional work.
- (b) The project contractor shall be responsible for any damages negligently caused to a utility facility.
- (c) If the utility facility owner fails to comply with sub. (3), the department or its contractor shall not be liable to the owner for damages to a utility facility resulting from the highway improvement if the department or its contractor complies with s. 182.0175 (2), and the owner shall be liable to the department or its contractor for damages resulting from the failure to comply.

#### 84.063(5) RULES.

The department shall promulgate rules to implement and administer this section.

History: 1991 a. 39; 1999 a. 85.

**Source:** Unofficial text from 03–04 Wis. Stats. database. **Cross Reference:** See also ch. Trans 220, Wis. adm. code.

September 30, 2006 STATE STATUTE 84.063 Figure 1-2

## **Chapter Trans 220 UTILITY FACILITIES RELOCATION**

Trans 220.01 Purpose and scope.

Trans 220.02 Applicability.

Trans 220.03 Definitions.

Trans 220.04 Notification.
Trans 220.05 Project and work plans.

Trans 220.06 Responsibilities.

## **Trans 220.01 Purpose and scope.** The purpose of this chapter is:

- (1) To establish the administrative procedures for implementing s. 84.063, Stats., and to prevent delays to proposed state trunk highway improvement projects and contractor delay and expense due to uncertain scheduling of utility relocations.
- (2) To define a process and scheduling procedure to deal with utility conflicts with state trunk highway construction and arrange for their timely resolution.
- (3) To integrate the utility facility relocation process under s. 84.063, Stats., with several pre–existing statutes and regulations, including the following:
- (a) The obligations of utilities and highway planners and contractors under s. 182.0175, Stats.;
- (b) The obligations of utilities to pay the cost of protection or changes to utility facilities to accommodate highway work under s. 66.0831, Stats.; and
- (c) The obligations of utilities to comply with the conditions of permits issued for the location of utilities within highways under s. 86.07 (2), Stats., and 23 CFR part 645 (April 1, 1993).
- (4) To comply with federal law regarding utility accommodation when the project is on any right of way of any federal—aid highway and funded in whole or in part with federal funds (23 USC 109 (I) (1993)).
- **(5)** To make it clear that this chapter is not applicable to railroad facility relocations or adjustments.

History: Cr. Register, February, 1994, No. 458, eff. 3–1–94; correction in (3) (b) made under s. 13.93 (2m) (b) 7., Stats.

- **Trans 220.02 Applicability. (1)** This chapter applies to state trunk highway improvement projects which have utility facilities located on them and are let for construction after this chapter has been published and for which the department has mailed the notification and plans prescribed in ss. Trans 220.04 and 220.05.
- (2) The department shall begin sending the notification and plans prescribed in ss. Trans 220.04 and 220.05 for all state trunk highway improvement projects for which the design process is initiated after this chapter is published. The department will not be required to resend the notification and plans if it has already done so prior to this chapter being published.
- (3) This chapter does not apply to the alteration or relocation of railroad facilities.

History: Cr. Register, February, 1994, No. 458, eff. 3-1-94.

**Trans 220.03 Definitions.** The definition of words and phrases in s. 84.063, Stats., apply to this chapter. In this chapter:

(1) "Business day" means any calendar day of the year exclusive of Saturdays, Sundays and legal holidays.

- (2) "Calendar day" means any day of the year; if more than one day, it means any consecutive days of any year or years.
- (3) "Compensable work" means utility facility alteration or relocation work for which the department will reimburse the utility facility owner under programs or policies of the department, including s. 84.295 (4m), Stats.
- (4) "Contractor" means the person or entity that enters into an improvement project contract with the department under s. 84.06, Stats., and subcontractors or suppliers to the contractor.
- (5) "Department" means the department of transportation or its agent.
- **(6)** "Highway" has the meaning given in s. 340.01 (22), Stats.
- (7) "Improvement" has the meaning given in s. 84.06 (1), Stats.
- (8) "Letting date" means the date the department receives and opens bids for an improvement.
- (9) "Mail" means a written transmittal, currently dated and sent to the addressee by regular or certified, return receipt requested United States postal service mail or other means.
- (10) "Major reconditioning" means an improvement project which includes pavement resurfacing or minor reconditioning plus shoulder widening, ditch restoration, reduction of curvature or grades and intersection improvements.
- (11) "Minor reconditioning" means an improvement project which includes pavement resurfacing, pavement widening, shoulder paving and intersection improvements.
- (12) "Noncompensable work" means utility facility alteration or relocation work which the owner must carry out without cost to the department.
- (13) "Owner" means the owner of a utility facility.
- (14) "Project plan" means a plan for a highway improvement suitable for the design of utility facility alterations or relocations which the department sends to the owner.
- (15) "Reconstruction" means an improvement project which rebuilds an existing facility and may include reducing curvature or grades and widening pavement and shoulders.
- (16) "Resurfacing" means an improvement project which provides a new roadway surface on an existing pavement and may include minor base patching, intersection paving, shoulder gravel and selective beam guard.
- (17) "State trunk highway" means any highway designated as part of the state trunk highway system pursuant to s. 84.02 or 84.29, Stats., exclusive of connecting highways.
- (18) "Utility facility" includes cable services.
- (19) "Work plan" means a plan of the owner to carry out utility facility alteration or relocation work to accommodate an improvement project of the department.
- (20) "Working day" means a business day on which weather and other conditions not under the control of the owner will permit utility facility alteration and relocation work to proceed for at least 8 hours of the day with the normal working force of the owner engaged in performing the controlling item of work in accordance with the owner's

approved work plan. In determining the normal working force of the owner, consideration shall be given for any diversion of the owner's working force that is required to respond to an emergency involving restoration of critical utility service.

History: Cr. Register, February, 1994, No. 458, eff. 3–1–94.

Trans 220.04 Notification. (1) The department shall make a reasonable effort to determine what utility facilities are located within the right of way of a proposed improvement project by researching permit files, reviewing map files maintained by the department, field investigation or contact with one call locating services, and through contacts with local governmental units.

- **(2)** The department shall identify the owner of facilities determined in sub. (1) by name.
- (3) The department shall notify the owner of the proposed improvement by mail. The department may include a receipt of mailing form with the notification, in which case the owner shall complete the form and mail it back to the department within 7 calendar days of receipt.
- **(4)** The notification shall include the name or route number, or both, of the highway, the geographical limits of the improvement, general description of the work to be done, desired date for completion of utility coordination and anticipated year of construction of the improvement.
- (5) Within 60 calendar days of mailing the notification referred to in sub. (3), the owner shall provide the information specified in s. 84.063 (2) (b), Stats., by mail; that is, a description and the general location of each utility facility in the vicinity of the improvement. The utility shall reply whether or not it has facilities in the vicinity.

Note: Section 84.063 (2) (b), Stats., reads as follows:

(2) (b) Within a specified period after the date the notice is received, the utility facility owner shall provide the department with a description and the general location of each utility facility in the proposed highway improvement right—of—way.

History: Cr. Register, February, 1994, No. 458, eff. 3-1-94.

Trans 220.05 Project and work plans. (1) After the owner responds with the information specified in s. 84.063 (2) (b), Stats., the department shall mail the owner at least one set of the available project plan. The project plan shall show all existing utility facilities known to the department that are located in the right of way where they will conflict with the improvement.

- (2) The department may include a receipt of mailing form. If a receipt of mailing form is sent, the owner shall complete the form and mail it back to the department within 7 calendar days of receipt.
- (3) The project plan need only show those portions of the improvement which give the project location, the owner's existing utility facilities and how those facilities will be affected by the improvement. The department will also provide any additional and duplicate plan information needed by the owner to design and layout the removal, relocation or adjustment of existing utility facilities and the placement of relocated or additional facilities within the project limits.
- (4) The owner shall provide the department with a work plan. The work plan shall be furnished within 60 calendar days after the date of mailing of the project plan by the

department for resurfacing projects; within 90 calendar days for minor reconditioning projects; and within 120 calendar days for major reconditioning, reconstruction or new construction projects. Upon owner request or its own initiative, when the department determines there is a potential for conflict between work plans, the department will schedule a meeting that the owners are required to attend to coordinate the work. An additional 30 calendar days will be allowed to furnish the work plan if coordination is required with other utility facility owners or if the work is compensable.

(5) For noncompensable work, the work plan shall include, in addition to the information required in s. 84.063 (3) (b). Stats., a narrative description of what work will be done; whether the work is dependent on work by another owner; whether the work will be done prior to highway construction and which work will be necessary to coordinate with the work of the contractor; when the work will be started and the length of time in working days required to complete the work. A listing of approvals required by governmental agencies and the expected time schedule to obtain those approvals shall be provided. The project plan furnished by the department shall be reviewed by the owner to verify that the owner's utility facilities are shown. If the facilities are not shown, the owner shall mark their location and return the marked up project plan to the department with a dated transmittal. If the utility facilities are shown, the owner shall advise the department by mail and need not return the project plan. For noncompensable work, the owner may also submit a request for a utility alteration or relocation loan pursuant to s. 84.065. Stats... and ch. Trans 30. If the owner's proposed relocated or additional utility facilities will be relocated within the highway right-of-way, a permit application may be submitted at the same time in accordance with "The Policy for the Accommodation of Utilities Within Highway Rightof-Way" of the department.

**Note:** A copy of this policy may be obtained at no cost upon request to the Division of Highways, Department of Transportation, P.O. Box 7916, Room 651, Madison, WI 53707–7916, telephone (608) 266–0233.

Note: Section 84.063 (3) (b), Stats., reads as follows:

- (3) (b) Within a specified period after receiving the project plans, the owner shall provide the department with a work plan. The period of time within which the owner is required to provide the department with a work plan shall reflect whether the utility facility owner is required to coordinate its work plan with another utility facility owner. The work plan provided by the owner shall include all of the following:
- 1. A copy of the project plans that verifies the location of all of the owner's existing utility facilities specified on the plans by the department and that identifies the owner's proposed location of relocated or additional utility facilities within the right-of-way of the proposed improvement.
- 2. A plan and a schedule of working days necessary to obtain any approval required by a governmental agency and to accomplish any proposed relocation or adjustment required by the proposed improvement.
- (6) For compensable work, in addition to the items specified in sub. (5), the work plan shall include an estimate of cost for utility facilities relocation including appropriate credits for betterments, used life and salvage. An executed conveyance of rights or quit—claim deed to the property occupied by the owner's facilities if one is required by the improvement project may be submitted at this time.
- (7) The department shall review the work plan to ensure

compatibility with permit requirements, the improvement plans and construction schedule, reasonableness of relocation scheme and reasonableness of cost for compensable work. If the work plan submitted by the owner is not compatible or reasonable, the department shall advise the owner by mail as soon as practicable. If sent through regular mail, the department may include a receipt of mailing form. If a receipt of mailing form is sent, the owner shall complete the form and mail it back to the department within 7 calendar days of receipt. The owner shall submit a revised work plan within 30 calendar days of receipt of advice by the department that the work plan is not compatible or reasonable. The department shall review the revised work plan and if the work plan is still not compatible or reasonable, the work plan revision process shall be repeated. When the work plan is compatible and reasonable, the department shall advise the owner by mail of its approval.

- (8) The owner shall notify the department by mail within 15 calendar days of receiving all required approvals from government agencies.
- (9) The department shall notify the owner by mail not less than 30 calendar days before the owner is required to begin the work provided for in the approved work plan. The department may include a receipt of mailing form which the owner shall complete and return within 7 calendar days of receipt.
- (10) If the owner's approved work plan is dependent on work by the contractor, the contractor shall provide the department and the owner a good faith notice 14 to 16 calendar days before the work is expected to be complete and ready for the owner to begin its work. The contractor shall follow up with a confirmation notice to the department and the owner not less than 3 working days before the work will be ready for the owner to begin its work.
- (11) The owner shall notify the department when its work has started. The owner shall complete its work within the time frame described in its work plan. The owner shall notify the department when the work is complete. Notices of work start and work completion shall be sent by mail within 15 calendar days of starting and completing the work, respectively.
- (12) If, prior to the letting date of the highway improvement project, the department's project plan is changed so that additional utility relocation or adjustment work is found necessary, the department shall furnish a revised project plan per subs. (1) to (3), and the owner shall provide the department with a revised work plan per subs. (4) and (5), except that the time allowed for the owner to submit the revised work plan after receipt of the revised project plan shall not exceed 60 calendar days. Revisions to the project plan shall be identified to the owner.
- (13) If, after the letting date of the highway improvement project, additional utility relocation or adjustment work is found necessary, the department shall notify the owner. The department and the owner shall agree on a revised work plan.
- **(14)** If additional utility relocation or adjustment work is found necessary after the owner has been notified per sub. (9), refer to s. Trans 220.06.

**History:** Cr. Register, February, 1994, No. 458, eff. 3–1–94.

- **Trans 220.06 Responsibilities. (1)** If the department requires additional work to a utility facility after the facility has been relocated or adjusted in accordance with a work plan approved by the department, the department shall bear the reasonable cost of the additional work.
- (2) If the department requires relocation or adjustment of a noncompensable utility facility that was originally determined, per the work plan, to not need relocation or adjustment, the owner shall bear the cost of the relocation or adjustment.
- (3) If the department requires relocation or adjustment of a compensable utility facility that was originally determined, per the work plan, to not need relocation or adjustment, the department shall bear the reasonable cost of the relocation or adjustment.
- (4) The owner shall bear the cost of additional work to any portion of its facilities after the facilities have been relocated or adjusted in accordance with a work plan approved by the department if the additional work is required by the department due to error by the owner in preparation of work plans for, field location of, or construction of the relocation or adjustment of its facilities.
- (5) The contractor shall be responsible for compliance with s. 182.0175 (2), Stats., with respect to precautions to be taken to avoid and prevent damage to utility facilities.
- (6) (a) The owner shall complete alteration or relocation of its utility facilities in accordance with the work plan approved by the department.
- (b) The work shall be completed by the owner within the time frame of the approved work plan.
- (7) (a) If the owner has complied with ss. 66.0831, 84.063 and 182.0175, Stats., and this chapter and the utility facilities are damaged by the contractor, the contractor shall be responsible to the owner for damages if the contractor has not complied with s. 182.0175 (2), Stats.
- (b) The contractor shall not be responsible for damage to utility facilities if it has complied with ss. 182.0175 (2) and 66.0831, Stats.
- (c) If the owner fails to provide a work plan as provided in s. Trans 220.05, or fails to complete the alteration or relocation of its facilities in accordance with the work plan approved by the department as provided in s. Trans 220.05, the owner shall be liable to the contractor for all delay costs and liquidated damages incurred by the contractor which are caused by or which grow out of failure of the owner to carry out and complete its work in accordance with the approved work plan.
- (8) If one year or more has passed since the department approved a work plan, the owner may submit a revised work plan that must be considered by the department if it is submitted prior to the letting date and does not affect the letting date.

History: Cr. Register, February, 1994, No. 458, eff. 3–1–94; corrections in (7) (a) and (b) made under s. 13.93 (2m) (b) 7., Stats.

Source: Register, August, 1996, No. 488 Unofficial Text (See Printed Volume)

#### PROPOSED HIGHWAY IMPROVEMENT NOTICE

Wisconsin Department of Transportation

DT1077 10/2005 (Trans. 220 WI Admin. Code)

Pursuant to s.84.063 Wisconsin Statutes, this notice advises that the Wisconsin Department of Transportation is planning the improvement identified below.

То	From - Name, Address, City, State, ZIP Code
Dustin Brunette	Cindy O'Connor
Sobieski Sanitary District #121180	Wisconsin Department of Transportation
Jaworski Road	Northeast Region
Pulaski, WI 54162	P.O. Box 28080
	Green Bay, WI 54324-0080
Improvement Project ID	County
1145-02-73	Oconto
Highway Route Number or Name	
USH 41/141	
Improvement Limits	
CTH S-CTH D	
General Description of Work to be Done	
Resurfacing with intersection improvements and median be	am guard installation at selected locations. Spot drainage
improvements and culvert replacements.	
Utility Coordination Desired Completion Date	Anticipated Year of Improvement Construction
September 1999	2001
Transportation Region Name	
Northeast Region - Green Bay	
Consultant Name	(Region or Consultant Representative Signature) (Date) (If Computer-filled, Brush Script Font)
	Desired Hills Consultation
	Region Utility Coordinator
	(Title)

#### NOTICE ACKNOWLEDGEMENT

#### Return this form within 7 days of receipt to address shown above.

Rec	eipt of the above notice is acknowledged.		
	We have no utility facilities in the vicinity of the improve	ement.	
	We have utility facilities in the improvement vicinity and of the above notification date as required by s.84.063(2)		l location within 60 day
	We have utility facilities in the improvement vicinity; the (Attach additional sheets if necessary.)	ir description and general location are	identified below.
Utili	ty Name		
Utili	ty Representative Name – Please Print	(Utility Representative Signature)	(Date)
		(Title)	

September 30, 2006 SAMPLE DT1077 FORM Figure 1-4

#### PROJECT PLAN TRANSMITTAL

DT1078 11/2005 (Trans. 220 WI Admin. Code)

Wisconsin Department of Transportation

Pursuant to s.84.063 Wisconsin Statutes, the Wisconsin Department of Transportation is furnishing the number of sets specified below of the available plan showing all existing utility facilities known to the department where they will conflict with the improvement identified below.

То	From - Name, Address, City, State, ZIP Code	
Henry Grandys	Jeff Mulloy	
Superior Fuel & Light	Northwest Region - Superior	
124 Clough Street	1701 North 4th St.	
Superior, WI 54880	Superior, WI 54880	
Improvement Project ID	County	
1234-01-70	Bayfield	
Highway Route Number or Name STH 13		
Improvement Limits Washburn - Bayfield		
Number of Plan Set(s) 1	Anticipated Year of Improvement Construction 2002	
Project Classification Reconstruction	Work Plan Due Date August 6, 1998	

For the purposes of Trans. 220.05(4), this improvement is classified as indicated above. Your work plan is required at the above address on or before the due date indicated.

Transportation Region Name Northwest Region - Superior		
Consultant Name	(Region or Consultant Representative Signature) (If Computer-filled, Brush Script Font)	(Date)
	Project Development Engineer	
	(Title)	

#### PROJECT PLAN ACKNOWLEDGEMENT

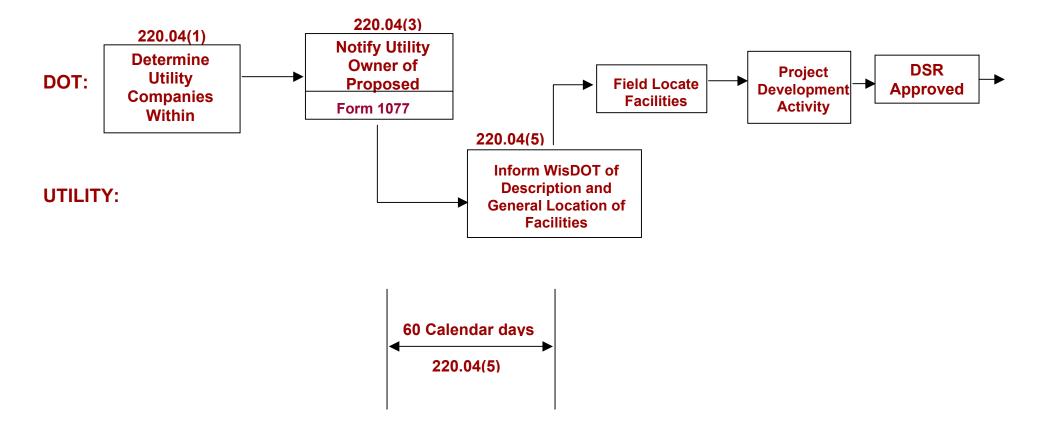
#### Return this form within 7 days of receipt to address shown above.

Receipt of the above transmittal is acknowledged.

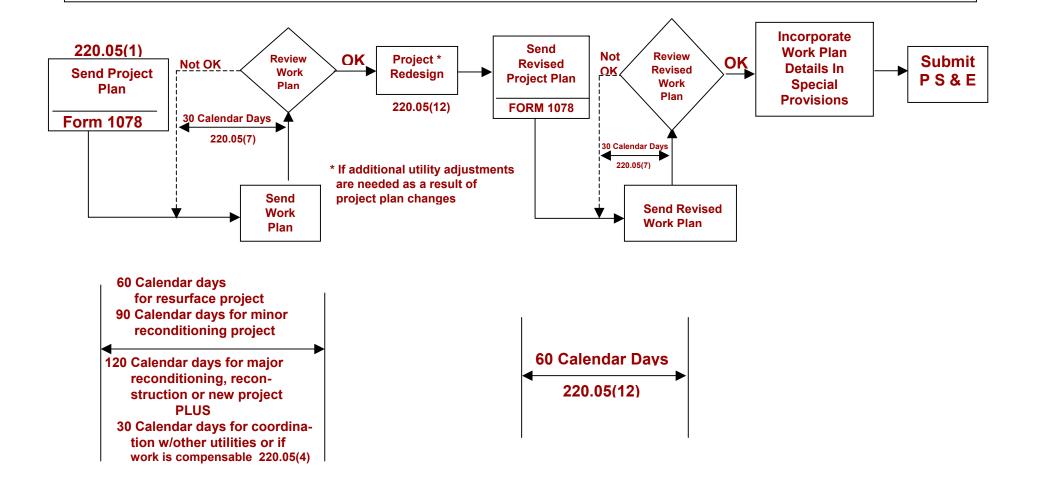
Utility Name		
Utility Representative Name – Please Print	(Utility Representative Signature)	(Date)
	(Title)	

September 30, 2006 SAMPLE DT1078 FORM Figure 1-5

CONCEPT DEFINITION INVESTIGATION DETERMINATION



## FINAL DESIGN



#### CORRESPONDENCE MEMORANDUM

Wisconsin Department of Transportation

DT1175 97

Date:

February 26, 1999

To:

District Project Development Chiefs

From:

Bob Bovy, Chief of Design Services & Quality Management

Subject:

TRANS 220 Applicability

TRANS 220 dictates the utility coordination process that must be used on all STH improvement projects that are let to contract.

TRANS 220.02 Applicability. (1) This chapter applies to state trunk highway improvement projects which have utility facilities located on them and are let for construction after this chapter has been published.

The rule does not allow for any exceptions.

I am aware that a few of our let STH projects have not followed the required TRANS 220 utility coordination process. In fact, I have agreed with others that it is not necessary to follow TRANS 220 under certain conditions. If a project does not break ground in any manner (such as a pavement marking project) and working equipment will not interfere with overhead utilities (ie. erecting signs or signals), or if the special provisions direct the contractor to adjust the design to avoid conflicts with existing utility facilities (such as signing or signal projects where objects can be moved without affecting the purpose of the object), then there are no conflicts with utility facilities, and thus there is no need for utility coordination. Technically TRANS 220 must be followed on every let STH project, but practically, following TRANS 220 may be an exercise in paper shuffling on some projects and may be deemed a waste of time and resources on projects where there are no possible conflicts with utilities.

If WisDOT fails to follow TRANS 220, the highway contractor will not be able to recover damages from the utility for any delays caused by the utility. The utility will claim that they did not receive proper notification as specified by law, and they would be correct. In such a case, the contractor will likely file a claim against WisDOT for failing to follow the required utility coordination process which resulted in a delay and damages to the contractor.

NOTE: We still must follow s.182.0175(2) which requires designers and contractors to locate utility facilities in and near the area where excavation or demolition will take place, and to plan the excavation or demolition to avoid to the extent possible interference with utility facilities in and near the construction area.

There is no problem if the contractor does not hit a utility facility and there are no delays to the contractor. Legally, a utility could complain that they did not receive proper notification, but they would have no real reason to if their facilities are not affected by the project.

Any time WisDOT does not follow the TRANS 220 process on a let STH project, WisDOT is probably assuming the risk of any damages to the highway contractor if there is a utility conflict that delays the highway contractor. We should be very cautious about taking that risk.

By law and administrative rule, the TRANS 220 utility coordination process applies to all let STH projects. Any departure from the rule should be taken with full knowledge of the risks involved. Also, the contractor should be notified, via the special provisions, that the TRANS 220 process was not followed on the project. That will alert them to consider the risk involved when they make their bid.

EJP:U:\UTILITY\GUIDE\FIG2-7

#### 66.0831 Interference With Public Service Structure

A contractor with a contract for work upon, over, along or under a public street or highway may not interfere with, destroy or disturb the structures of a public utility, including a telecommunications carrier as defined in s. 196.01 (8m), encountered in the performance of the work in a manner that interrupts, impairs or affects the public service for which the structures may be used, without first obtaining written authority from the commissioner of public works or other appropriate authority. A public utility, if given reasonable notice by the contractor of the need for temporary protection of, or a temporary change in, the utility's structures, determined by the commissioner of public works or other appropriate authority to be reasonably necessary to enable the work, shall temporarily protect or change its structures located upon, over, along or under the surface of a public street or highway. The contractor shall pay or assure to the public utility the reasonable cost of the temporary structure or change, unless the public utility is otherwise liable. If work is done by or for the state or by or for any county, city, village, town sanitary district, metropolitan sewerage district created under ss. 200.01 to 200.15 or 200.21 to 200.65 or town, the cost of the temporary protection or temporary change shall be borne by the public utility.

**History:** 1973 c. 277; 1983 a. 296, 538; 1993 a. 496; 1999 a. 150 s. 116; Stats. 1999 s.66.0831. **Cross Reference:** See also ss. PSC 165.066, 165.067, and 185.16, Wis. adm. code.

Interference without written authority is prohibited only if the parties cannot agree that requested changes are reasonably necessary. A town sanitary district is not a town under the cost provision of this section. Wisconsin Gas Co. v. Lawrenz & Associates, 72 Wis. 2d 389, 241 N.W.2d 384 (1976).

September 30, 2006 STATE STATUTE 66.0831 Figure 1-8

#### 86.16 Utility Lines on Highways; Place of Poles; Penalty

- (1) Any person, firm or corporation, including any foreign corporation authorized to transact business in this state may, subject to ss. 30.44 (3m), 30.45 and 196.491 (3) (d) 3m., with the written consent of the department with respect to state trunk highways, and with the written consent of local authorities with respect to highways under their jurisdiction, including connecting highways, construct and operate telegraph, telephone or electric lines, or pipes or pipelines for the purpose of transmitting messages, water, heat, light or power along, across or within the limits of the highway.
- (2) All poles used in the construction of such lines shall be set in such manner as not to interfere with the use of such highway by the public, nor with the use of the adjoining land by the owner thereof; and all pole lines shall hereafter be constructed so as to meet the requirements of the Wisconsin state electrical code.
- (3) No tree shall be cut, trimmed or the branches thereof cut or broken in the construction or maintenance of any such line without the consent of the owner of the tree.
- (4) Any person erecting any telephone, telegraph, electric light or other pole or stringing any telephone, telegraph, electric light or other wire, or constructing any pipes or pipe lines in violation of the provisions of this section shall forfeit a sum not less than \$10 nor more than \$50.
- (5) Any person, firm or corporation whose written application for permission to construct such lines within the limits of a highway has been refused, or has been on file with the department or local authority for 20 days and no action has been taken thereon, may file with the department or local authority a notice of appeal to the division of hearings and appeals. The department or local authority shall thereupon return all of the papers and action of the department or local authority to the division of hearings and appeals, and the division of hearings and appeals shall hear and try and determine the appeal on 10 days' notice to the department or local authority, and the applicant. The order entered by the division of hearings and appeals shall be final.

**History:** 1977 c. 29 s. 1654 (8) (d), (e); 1979 c. 34; 1981 c. 347 s. 80 (2); 1989 a. 31; 1993 a. 16, 490; 1997 a. 204. **Cross Reference:** See also s. Trans 200.05, Wis. adm. code.

Pipelines to transport water under sub. (1) include wastewater as well as freshwater pipelines. Review of a town's refusal to grant permission to a city to construct a sewer line was within the scope of DHA's authority under sub. (5). Town of Barton v. Division of Hearings & Appeals, 2002 WI App 169, 256 Wis. 2d 628, 649 N.W.2d 293, 01–1209.

#### Statutes referenced in the above statute are printed below:

#### ss. 30.44(3m) (3m) UTILITY FACILITIES; HIGH-VOLTAGE TRANSMISSION LINES. {Lower Wisconsin State Riverway}

- (a) A person shall apply to and receive a permit from the board before constructing, modifying or relocating a utility facility or high-voltage transmission line that is in the riverway.
- (b) A person may not be issued a permit for an activity in par. (a) unless the performance standard in par. (c) is met and, for a high-voltage transmission line, the board finds that the activity will not impair, to the extent practicable, the scenic beauty or natural value of the riverway.
- (c) All reasonable efforts, as determined by the board, shall be taken to minimize the visual impact of the utility facility.
- (d) The use of an aboveground utility facility shall not be a basis for the board to determine that all reasonable efforts will not be taken to minimize the visual impact. The board may not require a high-voltage transmission line to be placed underground in order to make the finding specified in par. (b).

**History:** 1989 a. 31; 1991 a. 76, 189; 1995 a. 201, 211; 1997 a. 35, 204. **Cross Reference:** See also chs. NR 37 and RB 2, Wis. adm. code.

## 30.45 Prohibited and restricted activities in the riverway. {Lower Wisconsin State Riverway}

In the riverway:

(1) No person may start or engage in an activity under s. 30.44 (1) to (5) or 30.445 without having any permit that is required under s. 30.44 or 30.445.

History: 1989 a. 31; 1991 a. 76, 315; 1995 a. 211, 227, 451; 1997 a. 204.

196.491 Strategic energy assessment; electric generating facilities and transmission lines.

- (3) CERTIFICATE OF PUBLIC CONVENIENCE AND NECESSITY.
- (d) Except as provided under par. (e) and s. 196.493, the commission shall approve an application filed under par. (a) 1. for a certificate of public convenience and necessity only if the commission determines all of the following:

**3m.** For a high-voltage transmission line, as defined in s. 30.40 (3r), that is to be located in the lower Wisconsin state riverway, as defined in s. 30.40 (15), the high-voltage transmission line will not impair, to the extent practicable, the scenic beauty or the natural value of the riverway. The commission may not require that a high-voltage transmission line, as defined in s. 30.40 (3r), be placed underground in order for it to approve an application.

Cross Reference: See also s. PSC 100.11, Wis. adm. code.

#### 182.017 Transmission Lines; Privileges; Damages

#### (1) RIGHT-OF-WAY FOR.

Any domestic corporation organized to furnish telegraph or telecommunications service or transmit heat, power or electric current to the public or for public purposes, an independent system operator, as defined in s. 196.485 (1) (d), an independent transmission owner, as defined in s. 196.485 (1) (dm), or a cooperative association organized under ch. 185 or 193 to furnish telegraph or telecommunications service or a cooperative organized under ch. 185 to transmit heat, power or electric current to its members, may, subject to ss. 30.44 (3m), 30.45, 86.16 and 196.491 (3) (d) 3m. and to reasonable regulations made by any city, village or town through which its transmission lines or systems may pass, construct and maintain such lines or systems with all necessary appurtenances in, across or beneath any public highway or bridge or any stream or body of water, or upon any lands of any owner consenting thereto, and for such purpose may acquire lands or the necessary easements; and may connect and operate its lines or system with other lines or systems devoted to like business, within or without this state, and charge reasonable rates for the transmission and delivery of messages or the furnishing of heat, power or electric light.

#### (2) NOT TO OBSTRUCT PUBLIC USE.

But no such line or system or any appurtenance thereto shall at any time obstruct or incommode the public use of any highway, bridge, stream or body of water.

#### (3) ABANDONED LINES REMOVED.

The public service commission after a public hearing as provided in s. 196.26, and subject to the right of review as provided in ch. 227, may declare any line to have been abandoned or discontinued, if the facts warrant such finding. Whenever such a finding shall have been made the corporation shall remove such line, and on failure for 3 months after such finding of abandonment or discontinuance, any person owning land over, through or upon which such line shall pass, may remove the same, or the supervisors of any town within which said lines may be situated, may remove the said lines from the limits of its highways, and such person or supervisors shall be entitled to recover from the company owning the lines the expense for labor involved in removing the property.

#### (4) LOCATION OF POLES.

In case of dispute as to the location of poles, pipes or conduits, the commissioners appointed in condemnation proceedings under ch. 32 may determine the location. In no case, except where the owner consents, shall poles be set in front of or upon any residence property, or in front of a building occupied for business purposes, unless the commissioners find that the same is necessary and the court may review the finding.

#### (5) TREE TRIMMING.

Any such corporation which shall in any manner destroy, trim or injure any shade or ornamental trees along any such lines or systems, or, in the course of tree trimming or removal, cause any damage to buildings, fences, crops, livestock or other property, except by the consent of the owner, or after the right so to do has been acquired, shall be liable to the person aggrieved in 3 times the actual damage sustained, besides costs.

#### (6) MUNICIPAL FRANCHISE REQUIRED.

No lighting or heating corporation shall have any right hereunder in any city, village or town until it has obtained a franchise or written consent for the erection or installation of its lines from such city, village or town.

#### (7) HIGH-VOLTAGE TRANSMISSION LINES.

Any easement for rights-of-way for high-voltage transmission lines as defined under s. 196.491 (1) (f) shall be subject to the conditions and limitations specified in this subsection.

- (a) The conveyance under ch. 706 and, if applicable, the petition under s. 32.06 (7), shall describe the interest transferred by specifying, in addition to the length and width of the right-of-way, the number, type and maximum height of all structures to be erected thereon, the minimum height of the transmission lines above the landscape, and the number and maximum voltage of the lines to be constructed and operated thereon.
- (b) In determining just compensation for the interest under s. 32.09, damages shall include losses caused by placement of the line and associated facilities near fences or natural barriers such that lands not taken are rendered less readily accessible to vehicles, agricultural implements and aircraft used in crop work, as well as damages resulting from ozone effects and other physical phenomena associated with such lines, including but not limited to interference with telephone, television and radio communication.
- (c) In constructing and maintaining high-voltage transmission lines on the property covered by the easement the utility shall:
  - 1. If excavation is necessary, ensure that the top soil is stripped, piled and replaced upon completion of the operation.
  - Restore to its original condition any slope, terrace, or waterway which is disturbed by the construction or maintenance.
  - 3. Insofar as is practicable and when the landowner requests, schedule any construction work in an area used for

- agricultural production at times when the ground is frozen in order to prevent or reduce soil compaction.
- 4. Clear all debris and remove all stones and rocks resulting from construction activity upon completion of construction.
- Satisfactorily repair to its original condition any fence damaged as a result of construction or maintenance operations. If cutting a fence is necessary, a temporary gate shall be installed. Any such gate shall be left in place at the landowner's request.
- 6. Repair any drainage tile line within the easement damaged by such construction or maintenance.
- 7. Pay for any crop damage caused by such construction or maintenance.
- 8. Supply and install any necessary grounding of a landowner's fences, machinery or buildings.
- (d) The utility shall control weeds and brush around the transmission line facilities. No herbicidal chemicals may be used for weed and brush control without the express written consent of the landowner. If weed and brush control is undertaken by the landowner under an agreement with the utility, the landowner shall receive from the utility a reasonable amount for such services.
- (e) The landowner shall be afforded a reasonable time prior to commencement of construction to harvest any trees located within the easement boundaries, and if the landowner fails to do so, the landowner shall nevertheless retain title to all trees cut by the utility.
- (f) The landowner shall not be responsible for any injury to persons or property caused by the design, construction or upkeep of the high-voltage transmission lines or towers.
- **(g)** The utility shall employ all reasonable measures to ensure that the landowner's television and radio reception is not adversely affected by the high–voltage transmission lines.
- (h) The utility may not use any lands beyond the boundaries of the easement for any purpose, including ingress to and egress from the right-of-way, without the written consent of the landowner.
- (i) The rights conferred under pars. (c) to (h) may be specifically waived by the landowner in an easement conveyance which contains such paragraphs verbatim.

History: 1971 c. 40; 1975 c. 68, 199; 1979 c. 34, 323; 1985 a. 297 s. 76; 1989 a. 31; 1993 a. 213, 246, 371; 1997 a. 204; 2005 a. 441.

**Sub. (2)** is a safety statute, the violation of which constitutes negligence per se. An allegation that a power pole located within 4 feet of the traveled portion of a roadway violated this provision stated a cause of action. Weiss v. Holman, 58 Wis. 2d 608, 207 N.W.2d 660 (1973).

Sub. (5) is limited to damages arising from the construction, maintenance, or abandonment of facilities within a right-of-way. Vogel v. Grant-Lafayette Electric Cooperative, 195 Wis. 2d 198, 536 N.W.2d 140 (Ct. App. 1995), 94-0822.

#### 84.09 Acquisition of Lands and Interests Therein

- (1) The department may acquire by gift, devise, purchase or condemnation any lands for establishing, laying out, widening, enlarging, extending, constructing, reconstructing, improving and maintaining highways and other transportation related facilities, or interests in lands in and about and along and leading to any or all of the same; and after establishment, layout and completion of such improvements, the department may convey such lands thus acquired and not necessary for such improvements, with reservations concerning the future use and occupation of such lands so as to protect such public works and improvements and their environs and to preserve the view, appearance, light, air and usefulness of such public works. Whenever the department deems it necessary to acquire any such lands or interests therein for any transportation related purpose, it shall so order and in such order or on a map or plat show the old and new locations and the lands and interests required, and shall file a copy of the order and map with the county clerk and county highway committee of each county in which such lands or interests are required or, in lieu of filing a copy of the order and map, may file or record a plat in accordance with s. 84.095. For the purposes of this section the department may acquire private or public lands or interests in such lands. When so provided in the department's order, such land shall be acquired in fee simple. Unless it elects to proceed under sub. (3), the department shall endeavor to obtain easements or title in fee simple by conveyance of the lands or interests required at a price, including any damages, deemed reasonable by the department. The instrument of conveyance shall name the state as grantee and shall be recorded in the office of the register of deeds. The purchase or acquisition of lands or interests therein under this section is excepted and exempt from s. 20.914 (1). The department may purchase or accept donations of remnants of tracts or parcels of land existing at the time or after it has acquired portions of such tracts or parcels by purchase or condemnation for transportation purposes where in the iudament of the department such action would assist in making whole the landowner, a part of whose lands have been taken for transportation purposes and would serve to minimize the overall costs of such taking by the public.
- (2) If any of the needed lands or interests therein cannot be purchased expeditiously for a price deemed reasonable by the department, the department may acquire the same by condemnation under ch. 32.
- (3) (a) The department may order that all or certain parts of the required land or interests therein be acquired by the county highway committee. (Pertinent utility related statute language included here see statutes for complete language.)
- (3m) The department may order that all or certain parts of the required land or interest therein be acquired for the department by a board, commission or department of the city, village or town within whose limits the land is located. (Pertinent utility related statute language included here see statutes for complete language.)

NOTE: The wording in bold is the pertinent language regarding the acquisition of utility lands and easements.

Generally, the State of Wisconsin Department of Transportation has determined that the reasonable price for the acquisition of utility easements is the cost of the relocation of those facilities occupying the easement. See Figure 11-31.

Unofficial text from 03–04 Wis. Stats. database. See printed 03–04 Statutes and 2005 Wis. Acts for official text under s. 35.18 (2) stats. Report errors to the Revisor of Statutes at (608) 266–2011, FAX 264–6978, http://www.legis.state.wi.us/rsb/

**History:** 1971 c. 40; 1973 c. 118s. 7; 1977 c. 29ss. 936, 1654 (1), (8) (a), (b); 1977 c. 272, 418; 1979 c. 310; 1983 a. 27; 1991 a. 39; 1993 a. 246; 1995 a. 201, 406; 1997 a. 27, 35, 282; 1999 a. 83, 186; 2003 a. 33, 211, 327; 2005 a. 25.

September 30, 2006 STATE STATUTE 84.09 Figure 1-11

#### TRANS 220 LOG DT1079 11/2005-2 (Trans. 220 WI Admin. Code)

Wisconsin Department of Transportation

Project Description
PROJECT I.D. 6524-01-71
SEYMOUR - ANGELICA
CTH VV - STH 29
STH 55
SHAWANO COUNTY

Letting Date	PS&E Submittal Date
November 8, 2005	May 1, 2005
Category	Utility Agreement(s) Approval Desired Date
RECONSTRUCTION, PRESERVATION	May 1, 2005
Required Project Plan Lead Time	Utility Coordination Completed Date
120 days	4/12/2005
Required Project Plan Mail Date	PMP Updated
June 3, 2004	⊠Yes

UTILITY  NAME	1077 NOTIFICATION (60 DAYS)		1078 PROJECT PLAN		UTILITY WORK PLAN		WORK PLAN APPROVAL			
	DATE SENT 220.04(3)	DATE ACKNOWLEDGE- MENT RECEIVED (DUE -7 DAYS)	SYSTEM MAPS OR DESCRIPTION RECEIVED 220.04(5)	DATE SENT 220.05(1)	DATE ACKNOWLEDGE- MENT RECEIVED (DUE - 7 DAYS)	REQUIRED RETURN DATE 220.05(4)	ACTUAL RETURN DATE	DATE DENIED AND RETURNED UTILITY	REVISED WORK PLAN RECEIVED 220.05(7)	WORK PLAN APPROVED/ APPROVAL SENT 220.05(7)
CENTURYTEL	03/25/04	03/30/04	03/30/04	06/03/04	06/08/04	10/03/04	10/05/04			10/29/04
NORTHEAST TELEPHONE CO	09/20/00	09/26/00	09/26/00	06/03/04	06/07/04	10/03/04	08/30/04			10/08/04
TDS TELECOM	03/25/04	06/01/04		NO	FACILITIES	PER	FORM	DT1077		
TIME WARNER CABLE	03/25/04	03/31/04		NO	FACILITIES	PER	FORM	DT1077		
WISCONSIN ELECTRIC POWER COMPANY - ELECTRIC	09/20/00	10/10/00	10/10/00	06/03/04	06/08/04	11/03/04	11/01/04			04/12/05
WISCONSIN PUBLIC SERVICE	03/25/04	04/01/04		01/24/05	01/27/05	05/24/05	03/04/05			03/23/05
AT&T	09/20/00	09/25/00		NO	FACILITIES	PER	FORM	DT1077		
WISCONSIN ELECTRIC POWER COMPANY - GAS	09/20/00	09/27/00		NO	FACILITIES	PER	FORM	DT1077		
AMERICAN TRANSMISSION COMPANY	03/25/04	04/01/04		06/04/04	06/10/04	10/03/04	09/03/04			09/30/04

## **Wisconsin Department of Transportation**

December 11, 2002

Don Moat PTI Communications P.O. Box 349 Tomah, WI 54660

SUBJECT: Utility Project ID: 7605-06-40

Angelo-Tomah Road

**STH 21** 

Monroe County Parcel No. 4

Dear Mr. Moat,

This letter is to inform you that I have received your proposed work plan involving the relocation of your facilities for the subject project.

Upon review of your proposed work plan it appears there still remain some potential areas of conflict. Also, you have not stated when the cable along Comet Road will be re-located. I have enclosed a copy of your proposed work plan along with my comments for your use.

Please review these comments, make any necessary changes to your work plan, and resubmit the work plan to me. Please note that Trans. 220.05(7) requires that you resubmit your work plan within 30 calendar days of receipt of this letter.

If you have any questions please call me at 608-785-9032. Thank you for your cooperation.

Sincerely,

Joshua Duesterbeck Regional Utility Coordinator

**Enclosure** 

## **Wisconsin Department of Transportation**

January 22, 2002

Mr. Gary Quade Alliant Energy 1000 Main Street Dubuque IA, 52004

SUBJECT: Trans. 220 Work Plan Approval

Project ID: 1661-07-00

South Town Lane - Wisconsin River Bridge

USH 18/STH 35 Crawford County

Dear Mr. Quade:

This letter is to inform you that I have received your proposed work plan involving the relocation of your facilities for the subject project and have found it to be in conformance with Trans. 220. Enclosed is a copy of the approved work plan for your file.

The utility portion of the Special Provisions of the DOT highway contract is based on your work plan. If any of this information changes, contact me immediately so that I can correct our contract documents.

All required right-of-way has been acquired for this project.

You are hereby authorized to proceed with the relocation after all necessary permits to occupy highway rights of way have been approved. Please contact our Survey Coordinator at (608) 785-9032 if you will require any right-of-way staking to accommodate your move.

Please keep in mind that this approval constitutes only DOT acceptance of your relocation plan. You may need to obtain approvals, permits, or easements from other parties prior to relocating any utility facilities within or outside the project corridor. You will need to coordinate any other approvals needed directly with the affected parties.

It will be necessary to notify us if any substantial change is made in the planned relocation of the facilities and if you plan to use a subcontractor. Please advise us of the date you plan to start construction and when you have completed the relocation.

Sincerely,

Reed A. Espie Regional Utility Coordinator

cc: Designer

Consultant

Construction Project Manager

## **Wisconsin Department of Transportation**

January 22, 2002

Mr. Gary Quade Alliant Energy 1000 Main Street PO Box 769 Dubuque IA, 52004

SUBJECT: Trans. 220 Work Plan Approval

Project ID: 1661-07-00

South Town Lane - Wisconsin River Bridge

USH 18/STH 35 Crawford County

Dear Mr. Quade:

This letter is to inform you that I have received your proposed work plan for the subject project and have found it to be in conformance with Trans. 220. Enclosed is a copy of the approved work plan for your file.

You have indicated on the work plan that no utility relocation work should be necessary. If however utility conflicts are discovered during construction, please coordinate your work with the Contractor to relocate your facilities in a timely manner to prevent delays to our project schedule.

Sincerely,

Reed A. Espie Regional Utility Coordinator

cc: Designer

Consultant

Construction Project Manager

## **Wisconsin Department of Transportation**

September 7, 2000

Peter Johnson Smelly Gas Company 1251 W. Main Street Mytown, WI 50000

SUBJECT: Project ID: 7571-09-00 & 7572-01-00

CTH M Easterly To CTH C & Neshonoc Bridge

Village of West Salem

**STH 16** 

La Crosse County

Dear Mr. Johnson:

I have not yet received a work plan from Smelly Gas describing the relocation of facilities in conflict with next year's project in West Salem. I spoke with Jeff Mulloy in mid-August and he said he would be working on the relocation plan soon. I need to receive a work plan from you as soon as possible in order for me to write the utility special provisions and which will become part of the contract for the project. Per Trans. 220 work plans for the project were due on August 28, 2000. The work plan can be submitted directly to me and I will forward a copy to Ayres Associates.

Unresolved or unexpected utility conflicts create problems for all of us during construction. There is an increased chance for damage to your facilities, delays to the contractor and to our project schedule if conflicts are not addressed and their resolution planned for in advance. There are multiple conflicts with Smelly Gas lines throughout the project, too numerous to address during construction. Most of the utilities on the project are doing a complete rebuild of their facilities due to the numerous conflicts with our project.

The project will be let to contractor bids in February of 2001 with construction beginning shortly thereafter. Highway contractors bid on the project in part from information in the utility specials, so please include as much detail as possible on what you will need to move, when, and how long it will take in your work plan. Please include the number of working days it will take for any work that must be done during construction.

If you have any questions regarding the preparation of the work plan, or if you would like a meeting to review the project please call me at (608) 785-9032.

If you have already submitted a work plan please disregard this letter. Thank you for your cooperation.

Sincerely,

Rachel Mulloy Regional Utility Coordinator

## **Wisconsin Department of Transportation**

September 14, 2004

Mr. Gary Quade Alliant Energy 1000 Main Street Dubuque, IA 52004

TRANS. 220 NON-COMPLIANCE NOTICE

Project ID: 1661-54-9807 South Town Lane USH 18 Crawford County

Please be informed that as of this date you are NOT in compliance with Wisconsin Statute 84.063 and Administrative Rule Trans. 220. You were sent a PROJECT PLAN for the referenced project on May 15, 2004 and your WORK PLAN was due August 13, 2004.

I have enclosed a UTILITY WORKSHEET for the referenced project. Please complete this WORKSHEET and return **immediately**. I will accept this as your narrative WORK PLAN and it will be reviewed. I need this information to draft the Utility portion of the contract Special Provisions. **If you DO NOT anticipate any facility adjustments to accommodate the highway construction**, please complete and return this form indicating so. **IF YOU DO NOT RESPOND**, OUR CONTRACT SPECIAL PROVISIONS WILL INDICATE YOUR FAILURE TO COMPLY WITH ADMINISTRATIVE RULE TRANS. 220. AS A RESULT OF THIS FAILURE, THE HIGHWAY PROJECT MAY BE HELD UP BY YOUR COMPANY FOR FAILURE TO COMPLY WITH WISCONSIN STATUTE 84.063, WHICH COULD RESULT IN CLAIMS BEING FILED AGAINST YOUR COMPANY.

If you need assistance in developing a WORK PLAN, please let me know. You may wish to meet with the Regional Design and Maintenance staff to discuss relocation details and permit requirements.

This letter is not an authorization to undertake any study or relocation work at State expense. If you feel you have a land interest in which payment should be made for relocation of facilities, please contact our office so that we may review your claim and, if necessary, revise our right-of-way plat.

You will be provided with a final plan reflecting any changes 14 weeks prior to the letting date.

Sincerely,

Julie DeBauche Regional Utility Coordinator

Enclosure

## **Wisconsin Department of Transportation**

October 5, 2000

ANDREW D. SOLBERG, VICE PRESIDENT CHIPPEWA ENERGY CORPORATION 1000 TITANIC DRIVE LAKE WISSOTA WI 50204-0769

SUBJECT: NOTICE OF TRANS. 220 VIOLATION

Cayuga - Siberia Road Design Project ID: 1392-07-00

(Espie Lane - STH 33) R/W Project ID: 1392-07-21, Parcel 21

STH 36 Utility Project ID: 1392-07-41

Dodge County Construction Project ID: 1392-07-71

Project Letting Date: March 20, 2001

On March 1, 2000, Chippewa Energy Corporation (CEC) was sent the Trans. 220 Official Notice, along with the DT1078 Project Plan Transmittal for the above noted project. You returned your work plan for the relocation of your facilities on July 28, 2000. The work plan stated that the facilities would be relocated by September 30th. To date no work has been done. This places your company in default of meeting the requirements of Administrative Rule Trans. 220.

This is an official notice that as a result of failing to follow your work plan you are now in violation of the provisions of Trans. 220. Your company may now be held liable for all CEC-caused delay costs that a contractor may incur during the construction of this project. This could include down time, relocation costs of your facilities if a separate contractor must be hired to move them, and liquidated damages if the contractor cannot make their deadline because of delays that you have caused.

It is unfortunate that this Trans. 220 process violation has occurred. Chippewa Energy Corporation has always been an excellent company to work with in the past. It is my belief that we can solve these problems together with a goal of avoiding any utility-related construction delays if you act quickly to complete the necessary facility relocations as soon as possible.

Thanking you in advance for your cooperation. If you have any questions or concerns, please call me at 608-246-5406 or E-mail at scott.wood@dot.state.wi.us.

Sincerely,

Scott Wood Regional Utility Coordinator Dodge and Jefferson County

cc: Mike Hahn, WisDOT Project Development Supervisor

Joel Huenink, WisDOT Project Manager

#### **Definition of Highway Improvement**

#### Trans 220.02 Applicability.

- (1) This chapter applies to state trunk highway improvement projects which have utility facilities located on them and are let for construction after this chapter has been published and for which the department has mailed the notification and plans prescribed in ss. Trans 220.04 and 220.05.
- (2) The department shall begin sending the notification and plans prescribed in ss. Trans 220.04 and 220.05 for all state trunk highway improvement projects for which the design process is initiated after this chapter is published. The department will not be required to resend the notification and plans if it has already done so prior to this chapter being published.

**NOTE:** Paragraph (2) requires sending the notifications prescribed in Trans. 220.04 and 220.05 for all projects that began after February 1994.

**Trans 220.03 Definitions.** The definition of words and phrases in s. **84.063**, Stats., apply to this chapter. In this chapter:

- (6) "Highway" has the meaning given in s. 340.01 (22), Stats.
- (7) "Improvement" has the meaning given in s. 84.06 (1), Stats.
- (17) "State trunk highway" means any highway designated as part of the state trunk highway system pursuant to s. 84.02 or 84.29, Stats., exclusive of connecting highways. (See below)

#### 84.063 Utility facilities relocation.

- (1) DEFINITIONS. In this section:
- (a) "Highway improvement" means a state trunk highway improvement project.

#### 340.01 Words and Phrases Defined.

(22) "Highway" means all public ways and thoroughfares and bridges on the same. It includes the entire width between the boundary lines of every way open to the use of the public as a matter of right for the purposes of vehicular travel. It includes those roads or driveways in the state, county or municipal parks and in state forests which have been opened to the use of the public for the purpose of vehicular travel and roads or driveways upon the grounds of public schools, as defined in s. 115.01 (1), and institutions under the jurisdiction of the county board of supervisors, but does not include private roads or driveways as defined in sub. (46).

#### 84.06 Highway Construction.

- (1) DEFINITIONS. In this section, "improvement" or "highway improvement" includes construction, reconstruction, rehabilitation, and processes incidental to building, fabricating, or bettering a highway or street, but not maintenance. (Pertinent utility related statute language included here see statutes for complete language.)
- **84.02 State trunk highway system. (1)** DESIGNATION. The system of highways known as the trunk highway system heretofore selected and laid out by the legislature and by the highway commission and by special legislative state trunk highway committees and approved by said highway commission and as revised, altered and changed by and under authority vested by law in the highway commission, is hereby validated and confirmed and designated the state trunk highway system but without prejudice to the exercise of the power given to change such system, and all acts by which parts of said system were heretofore adopted or declared to be trunk highways are confirmed and validated. Section 82.19 (2)

does not apply to the state trunk highway system.

#### 84.29 National system of interstate highways.

(2) ROUTES OF INTERSTATE SYSTEM, STATE TRUNK HIGHWAYS. Upon finding by the department that the development of any proposed highway as a route of the national system of interstate highways, hereinafter designated the interstate system or interstate highways, or any portion thereof, including the laying out, construction, maintenance and operation of any part thereof as a freeway or expressway, is in the promotion of the public and social welfare of the state and for the benefit of public travel, the department is empowered and it shall have full authority to lay out, construct, operate and maintain such highway as a part of the state trunk highway system. Except as otherwise provided by this section, all provisions of law relative to the acquisition of land for highway purposes and for surveys, plans, establishing, laying out, widening, enlarging, extending, constructing, reconstructing, improving, maintaining and financing of other state trunk highways shall apply to the interstate highways undertaken in this state.

## **Trans. 220 Action Steps**

Trans. 220 Reference	Ву	Action Step
220.04(1)	WisDOT	Identify utility facilities in the area of the project.
220.04(2)	WisDOT	Identify the owner of the utility facilities.
220.04(3)	WisDOT	Notify the owner by mail of the proposed project (DT1077 form)
220.04(3)	Utility	Complete the form and return within 7 calendar days
220.04(5)	Utility	Provide facility information within 60 calendar days
220.05(1)	WisDOT	Send project plans to utilities (DT1078 form & packet)
220.05(2)	Utility	Acknowledge receipt by returning DT1078 form.
220.05(4)	Utility	Supply WisDOT with a work plan within prescribed timeframe
220.05(4)	WisDOT	Conduct a utility coordination meeting that utilities are required to attend. (This step is optional unless requested by a utility)
220.05(7)	WisDOT	Review work plan compatibility. If incompatible, notify the utility by mail as soon as practicable.
220.05(7)	Utility	Revise work plan and resubmit within 30 days of notification that the work plan in not compatible.
220.05(7)	WisDOT	When the work plan is compatible and reasonable, notify the utility by mail of its approval.
220.05(8)	Utility	Notify WisDOT within 15 days of receiving all required governmental approvals.
220.05(9)	WisDOT	Notify utility by mail not less than 30 days before the utility is required to begin work.
220.05(10)	Contractor	If utility work is dependent on work by the contractor, the contractor shall provide WisDOT and the utility a good faith notice 14 to 16 days before the work is expected to be complete and ready for the utility to begin their work.
220.05(10)	Contractor	The contractor shall follow up with a confirmation notice to the WisDOT and the Utility not less than 3 working days before the work is ready for the utility to begin its work.
220.05(11)	Utility	The utility shall notify WisDOT by mail within 15 calendar days of when its work has started.

September 30, 2006	TRANS 220 ACTION STEPS	Figure 1-20
	Page 1 of 2	

		WISDOT GUIDE TO UTILITY COORDINATION
Trans. 220 Reference	Ву	Action Step
220.05(11)	Utility	The utility shall notify WisDOT by mail within 15 calendar days of when the work is complete.
220.05(12)	WisDOT	If prior to the letting there are changes to the plan that affect utility work, the WisDOT shall provide the utility with a revised plan and the utility shall revise its work plan within 60 days. The changes to the plan shall be identified to the utility.
220.05(13)	WisDOT	If after the letting there are changes to the plan that affect utility work, the WisDOT shall notify the utility. The WisDOT and the utility shall agree on a revised work plan.

Correspondence/Memorandum	16	State of Wisconsin

DATE:

11/23/94

TO: Bruce Belscamper, Bruce Eastenson, Daniel Grasser, David Harp, Del Laughlin, Ed Wambold, Gary Knerr, Gerald Kurtz, Gordon Mueller, Gregory Bethke, John P. Burkhardt, Peter Rusch, Richard Vansant, Roger Winter, Ronald Sonntag, Steven C. Smith, Willard Jochimsen, William Gilding, Winston Wills

FROM:

Balu Ananthnarayana

SUBJECT:

TRANS 220: UTILITY COORDINATION

Attached herein is a copy of Trans 220-Utility Coordination, for your review and action.

Trans 220 became effective on 3/1/94 and it documents specific time frame and activities which utilities and the DOT must conform with, to avoid future conflicts relating to utilities during construction either on improvement projects or state forces activities.

In essence, it states that we must give sufficient lead time for the utility companies to respond to our requests to review and inform us of the utilities which are on our right of way within the area of proposed construction. Future signalization and or any other installation should be planned well in advance and this information must be communicated to the utilities via the districts' utility sections.

We are obligated to follow this administrative rule as this is now the law.

Please work more closely with your district utility coordinator (list attached), so that projects comply with the law. They can also answer your questions, or if you have other questions, call myself or Joe Dresser at (608) 266-2941.

We will discuss this process at the Winter's electricians meeting, but you need to be on board now for plans which you are developing for Spring 95 and later construction.

## The Role of a Utility Coordinator

By Ernest J. Peterson, WisDOT State Utility Engineer

Written on September 23, 1997. Revised to fit WisDOT reorganization October 28th, 2005.

First of all, we should never lose sight of our main goal, which I believe is no unforeseen utility problems during our highway construction project. The special provisions should accurately give the contractor an understanding of what he can expect during construction. If he has to work around existing facilities; if utility work will be done after a cut is completed; if temporary utility lines must be installed during construction; if there are overhead lines that will affect crane operations; if he must coordinate with utility construction crews; or if all potential conflicts are resolved prior to construction; all of these things should be explained in the special provisions.

Each project is different. There are times when it is a good idea to get all the utilities together to discuss a project and their respective relocation plans at a utility coordination meeting. TRANS 220 allows for this and even requires participation by the utilities. However, don't abuse this. Everyone is busy and there is no need to schedule a meeting if it isn't going to be useful. If you hold operational planning meetings (OPM), it is a good idea to invite the utilities to the meeting. Ask them to discuss where there facilities are and if conflicts are likely, maybe ask the severity of the conflicts. For example, we may want to shift the road to avoid a transmission line, pipeline, substation, lift station, etc. We should try to design around expensive utility facilities. However, there are times when the utility plans to replace a facility anyway, in that case, it can be to our advantage to work with the utility to relocate in a place that will avoid conflicts with our proposed plans. Utility coordination meetings after the plans have been sent to them and prior to their submittal of work plans might be useful. They can exchange information on where they will be and joint trenching or attachments to each others poles may be possible. It could also provide info that would help in writing the special provisions.

Another one of our goals is to assure that the utility gets all the compensation that they are due. Nothing more and nothing less. We should make them whole, that is, they should be in the same condition after the project as before. In the case where they plan to replace a line (and assuming that at least part of it is compensable), it makes sense for them to time it so that they replace it in conjunction with the required relocate for the highway project. That way we pay part of the cost. The taxpayer and the ratepayers are the winners, with no unnecessary double moves. It eases the burden on the utility, and we don't pay to relocate a relatively new facility, which would be wasteful. This is where the 6-year plans come in handy. A utility can look ahead and try to coordinate with our projects. Of course, this starts to fall apart when we shift our program around. But hopefully, our real estate purchases stay somewhat on track so that the utility can move ahead of our project if the project gets delayed.

We should be sending highway improvement plans to the utilities that are complete enough and legible enough for them to review and determine conflicts with utility facilities, and design a relocated facility that will not interfere with our construction activities. What is appropriate for each job depends on the specifics of each job. A common error that we make is to not send storm sewer, drainage plans, temporary road plans, or bridge plans. A lot of conflicts result from drainage pipes. We also tend to make field adjustments to pipes, which are plan changes that can affect existing utilities.

After the utility reviews the plan and develops their work plan, they submit it to us. Now technically, it is their responsibility to identify all conflicts. However, we are the ones with the problem and delay if they miss a conflict and have to make some changes during our construction. Some regions send a list of potential conflicts with their 1078 plan submittal, along with a disclaimer that it is the utility's responsibility to be sure that all of the conflicts have been identified. Personally, I like this approach. That way, when the work plan comes in it is easy to see if they have addressed all of the conflicts that you were aware of. You also get a good understanding of the project that can help later when reviewing the permit or if problems arise. However, sometimes this takes a lot of time, especially on large projects or urban projects. I think it is a question of when you want to invest the time. Quality often requires more effort early in the process.

At any rate, once you have the work plans, there should be sufficient information to develop the special provisions for the PS&E. Avoid the common mistake of repeating word for word what the utility company has said in their correspondence. Their wording may be vague (intentionally or unintentionally), it may be outright incorrect, or it

may be unacceptable to the way we do business. For example, they may say that it will take 3 months to replace the sewer and water after the pavement has been removed. That may be unacceptable, and would require a 2-year construction contract in a busy urban area. Just because that is what they want to do doesn't make it acceptable to us. What will fit into our construction schedule? What can we live with? This may require some negotiating with the utility. They may have to bring in several crews or hire contractors.

After the work plans are approved, the permits are submitted and must be reviewed and approved. Sometimes the work plans and the permits are sent together, sometimes there is a gap in time of months if not years. The Region Utility Permit Coordinator can explain what they look for in reviewing them. Obviously compliance with the Utility Accommodation Policy is required, as well as a look to see if the permit is in agreement with the approved work plan. It would be embarrassing and costly if they were different and conflicts arose during construction. Once the permit is approved, there is the question of monitoring the permits. In theory, our Maintenance people are out driving the roads and monitoring activity out there. In reality, I don't think much inspection or review of utility work is done. It would be nice if you had the time to check on work associated with an improvement project, to assure that the placement of the facility is in compliance with what you approved in the work plan and permit. That could be a function of a utility construction coordinator.

What variance from the approved permit is allowable? Theoretically, none. Any changes should get prior approval from the Region Utility Permit Coordinator and as-built plans should be attached to the original permit. Is that always practical? Probably not. Each case would be different. In my opinion it all comes down to what makes sense. Any variation that results in a conflict with our highway plan (assuming we build according to the plans we send them) is not acceptable, and should be corrected at the utility's expense. If the utility delays our contractor while they correct their mistake, the utility should bear the cost of that delay. I think most utilities are cooperative when you can show them that they were in error. If they are supposed to be 30 inches deep and are only 12 or 18 inches deep (whether it is an old cable or a new one), they should be willing to relocate at their expense. If they are supposed to be 5 feet from the R/W and are actually 10 feet because they went around a tree, they will likely cooperate as well.

OK, so what if we make changes to the plan? Well, that happens in two different ways, but the answers are the same. If we make changes to the plan during design, and fail to notify the utility of the changes, we may have to pay the cost of utility relocations associated with the changes. If it is a TRANS 220 project, we pay. If it is not a TRANS 220 project, but they moved once already, we pay under the second move policy. If they didn't have to move under the original plan we sent them, but the changes require them to move now, they move at their own expense. The theory being that they occupy the R/W at our convenience, and have to move if they are in our way. In this case the timing of the notification doesn't make a difference. They may grumble, but they can't do much. If we make changes in the field, the same reasoning applies. {Local projects do not have a mandated second move policy.}

What should your role be during construction? Your first action should be to put them in contact with the Project Manager, but always let them know that if they don't get satisfaction they should call you back. We should be developing long term working relationships with the utility companies. The better you know them and they know you, the better you will get along and the smoother the work will be. Don't leave them hanging. Personalities often play a big role in the field. You get stubborn contractors, project managers, foremen, and utility personnel. There will be times when you have to get involved to play referee, to smooth the ruffled feathers of one person or another. Sometimes a face-to-face meeting with a third party involved (you) is all that is required to straighten things out. Sometimes that won't help.

You should have several weapons in your arsenal when big conflicts erupt on a job. First of all, there is you and your personality. Don't be hotheaded and jump to conclusions. Often, the first thing you hear about a problem is not the entire story. Listen to all sides before you say much. Ask a lot of questions. Was it marked properly? How deep was it? Did anyone actually measure it? Do you have proof? Did you take pictures? Did the plans change? What was sent to the utility, and what was built?, etc. If you know some of the parties involved, that will help. Some people just have bad attitudes, and are likely to be troublemakers. Sometimes people aren't listening to the other side. They don't really understand the problem. Other times it is just a conflict of personalities.

Second is the law. It is very specific in some situations. Also, in this category are our policies, such as second move, permits, the accommodation policy, TRANS 220, and the facilities development process.

Third is your working relationship with the utility companies. Try to help them out when you can, all along the facilities development process. Make it easy for them to give you the info you need. The Utility Worksheet is an attempt to help the utility by making it easier for them to give us the info we need. If we can stake right of way for them, we should do it. It helps reduce errors on their part, which result in problems for us during construction. If they have trouble reading our plans, meet with them and help them out. Have Julie DeBauche work with you and them in developing an estimate rather than them struggling and wasting time trying to figure it out. Notify them of OPM's, preconstruction meetings, and utility coordination meetings. If someone doesn't attend, call and find out why not. Let them know of any developments at the meeting they missed that may affect them. Watch out for them, provide them with any information that can help them work with us. I think you'll find that they will be more cooperative when problems arise if they feel that you have helped them in the past.

Part of your working relationship with the companies is your knowledge of the structure of the company. If you are having problems with one person, contact their boss. Maybe arrange for a meeting with all three of you, and, if it is during construction, have our construction people represented. It may be appropriate to have our designer there as well. Be judicious in going over someone's head, but there are times when that is what is needed. Sometimes the person you are having problems with needs additional help and his/her boss doesn't believe it. You could help out by stressing the importance of timely responses, and making the boss realize that additional staff is warranted. Sometimes addressing a small conflict can resolve bigger problems within the utility company, or our process and procedures.

Another weapon in your arsenal is Central Office. We can often help. Or we might be aware of someone else that has had a similar experience. We also have access to the Office of General Counsel, our lawyers. While they are very busy, there are times when their involvement is warranted.

Everything you do should be geared toward avoiding problems during construction. We don't want to get to the point where we have conflicts in the field. We want to head off the problems before they occur. You are doing a good job when people don't realize you do anything. Unfortunately, that can be a problem. Often utility coordination is unappreciated in the regions. I know, I've been there. That is causing us problems now as regions look to cut costs, and reduce manpower. They don't always realize the problems we prevent. When we don't do our job well, the problems can be very visible and expensive to fix. A delay in construction affects hundreds of people that live along and use a roadway.

If a problem does occur, sometimes it is worth the time invested to get to the bottom of the matter. You might find something that you could do differently. You might be able to develop a form to make things easier for the utility to complete or understand; you might figure out that in certain cases a coordination meeting would be helpful; or maybe you have to spend more time with a certain company or worker to make sure they understand what is going on. Who knows? Anything you learn might prevent a similar situation on future projects.

You only have so many hours in a day, and you must decide how to spend them. Ideally, you would be able to follow a job closely from Concept Definition Report to the ribbon cutting ceremony and paying the final bill. Realistically, you don't have time to follow each job that closely. Some jobs are routine and don't require a lot of time, others are more troublesome. It isn't always easy to predict. Sometimes you spend a lot of time on a small job, while a multi-million dollar job goes very smoothly. There is a lot of judgment involved in utility coordination. You have to make decisions on where to spend your time, and you won't always be right. Experience will help, you'll be able to recognize some potential problems before they occur and head them off. No matter how hard you try to prevent problems, no matter to what extent you go to make sure that things go smoothly, there will always be times when you get surprised. I've had a few projects where I didn't do as thorough of a job as I should have, and it came back to haunt me. But, that's life. We are always learning. Every day brings a new challenge, and a new lesson.

<b>UTILITY DIARY</b> DT2241 10/2006 s.84.01(6) Wis. Stats.				Wisconsin Department of Transportation		
Company				Construction Project ID (If applicable)	Permit Number (If applicable)	
Project Title				Project Limits		
Highway		County		Right-of-Way Project ID (If applicable)	Parcel Number (If applicable)	
Trans. 220 Compliant Yes No		Compensable  Yes	□No	Utility Project ID (If applicable)	Region/Office	
Use comments column to record details of telephone conversations, meetings, reference applicable e-mails and record any relevant information regarding improvement projects, utility projects, permit, placement and restoration issues. Trans. 220 dates are to be kept on the Trans. 220 log (DT1079).						
Date	Initials			Comments		

September 30, 2006 UTILITY DIARY Figure 1-23